

MOORE'S THEOREM

V. TIMORIN

ABSTRACT. In this (mostly expository) paper, we review a proof of the following old theorem of R.L. Moore: for a closed equivalence relation on the 2-sphere such that all equivalence classes are connected and non-separating, and not all points are equivalent, the quotient space is homeomorphic to the 2-sphere. The proof uses a general topological theory close to but simpler than an original theory of Moore. The exposition is organized so that to make applications of Moore's theory (not only Moore's theorem) in complex dynamics easier, although no dynamical applications are mentioned here.

1. INTRODUCTION

In this (mostly expository) paper, we review a proof of the following theorem of R.L. Moore [M25]:

Theorem 1.1. *Let \sim be a closed equivalence relation on the 2-sphere S^2 such that all equivalence classes are connected and non-separating, and not all points are equivalent. Then the quotient space S^2/\sim is homeomorphic to the 2-sphere.*

Here, a *closed* equivalence relation on S^2 is defined as an equivalence relation on S^2 that is a closed subset of $S^2 \times S^2$. A set $A \subset S^2$ is called *non-separating* if the complement $S^2 - A$ is connected.

In the original proof of Theorem 1.1 (a complete proof is spread over several publications [M16, M19, M20, M24, M25, M25Z], and it uses some results of [J, Mu]), Moore used his axiomatic description of the sphere [M16]: a system of axioms on a topological space X that guarantee that X is homeomorphic to the 2-sphere. We follow (in a much simplified form) this original approach. Our objective, however, is not to give a simpler proof of Theorem 1.1 but rather to introduce a topological theory (close to that of Moore) describing the 2-sphere. In fact, the author keeps several other applications of the same theory in mind, motivated mainly by rational dynamics. They will be described in separate preprint(s) or publication(s).

Let X be a Hausdorff topological space. Recall that a *path* in X is a continuous map $\alpha : [0, 1] \rightarrow X$. A path α is called *simple* if $\alpha(t) \neq \alpha(t')$ unless $t = t'$. A *simple curve* in X is defined as the image of some simple continuous path. If $A = \alpha[0, 1]$ for a simple path α , then $\alpha(0)$ and $\alpha(1)$ are called the *endpoints* of the simple curve A ; and for $0 \leq a < b \leq 1$, the simple curve $\alpha[a, b]$ is called a *segment* of the simple curve A . A *simple closed curve* in X is defined as the image of S^1 under a continuous

embedding $\gamma : S^1 \rightarrow X$. Clearly, the image under γ of a closed arc of S^1 is a simple curve — we call it an *arc* of the closed simple curve $\gamma(S^1)$.

One system of axioms that characterizes the 2-sphere is the following (remarkably, only two axioms are enough!):

- (1) *Jordan domain axiom.* For every simple closed curve C , the complement $X - C$ consists of two connected components called the *Jordan domains* bounded by C . Moreover, the boundary of any Jordan domain bounded by C is exactly C .
- (2) *Basis axiom.* There is a countable basis of topology in X consisting of Jordan domains.

Theorem 1.2. *Suppose that a compact, connected, locally path connected, Hausdorff topological space X satisfies the Jordan domain axiom and the Basis axiom. Then X is homeomorphic to the 2-sphere.*

The statement of Theorem 1.2 is very close to Moore's axiomatic description of S^2 . This statement can be strengthened. One of the strongest versions is due to R.H. Bing [B]: a compact connected locally connected metrizable space X with more than one point is homeomorphic to the 2-sphere, provided that no embedded S^0 separates X , and all embedded S^1 separate X . The assumption that no pair of points (=embedded S^0) separates X in Bing's theorem replaces the earlier assumption that no simple curve separates X [Z, vK]. Although these stronger characterizations of the 2-sphere imply Moore's Theorem 1.1 more directly, we use Theorem 1.2 for two reasons: first, it is simpler to prove; second, a version of this characterization can be stated dealing with more restricted classes of curves (see Section 7). For the same reason, we work with the rather strong Basis axiom, although we could have replaced it with a much weaker assumption that X is second countable and there exists at least one simple closed curve in X [vK]. Another proof of Theorem 1.1 can be found in the book of Kuratowski [K].

We will first prove Theorem 1.2 (Sections 2–4), and then deduce Theorem 1.1 from it (Sections 5–6). Many of the intermediate results should be also attributed to Moore, some of the results are even older. In the proof of generalized Jordan curve theorem, I have used some more recent ideas, see e.g. [N]. It took me a considerable effort to recover a complete argument presented here, and I hope that my exposition would be helpful to other people. Some of the arguments are new. Section 7 is aimed to extend Theorem 1.2 and make it suitable for other topological applications (cf. e.g. [T]).

I am grateful to A. Epstein for communicating some useful references, for carefully reading a draft of this paper, and making many useful comments and suggestions.

2. THE EXTENSION PROPERTY

Let X be a topological space satisfying the assumptions of Theorem 1.2. In this section, we will prove the following important property:

Theorem 2.1 (Extension property). *Let D be a Jordan domain in X bounded by a simple closed curve C . Then, for every pair of different points $a, b \in C$ there exists a simple curve connecting a to b and lying entirely in D , except for the endpoints.*

Let us first note that any Jordan domain is an open set, as a connected component of the complement to a compact set. An open connected set in a locally path connected space is path connected. Thus all Jordan domains are path connected.

Proposition 2.2. *Every Jordan domain coincides with the interior of its closure.*

Proof. Let D be a Jordan domain bounded by C . Since D is an open set, we have $D \subseteq \text{Interior}(\overline{D})$. Suppose that there is a point x in the interior of \overline{D} such that $x \notin D$. Then we must have $x \in \partial D$. By the Jordan domain axiom, $\partial D = C$ coincides with the boundary of the other Jordan domain $X - \overline{D}$ bounded by C . Thus the point x is also on the boundary of $X - \overline{D}$. A contradiction. \square

Proposition 2.3. *No proper arc of a simple closed curve separates X .*

Proof. Assume the contrary: A is a proper arc of a simple closed curve C , and D_1, D_2 are different components of $X - A$. Since the set $C - A$ is connected, it lies in some component of $X - A$; suppose e.g. that $C - A \subset D_2$. Then D_1 is disjoint from C , therefore, it lies in some Jordan domain D'_1 bounded by C . The boundary of D_1 is disjoint from D'_1 , hence D_1 must coincide with D'_1 . However, $\partial D_1 \subseteq A$, a contradiction with the Jordan domain axiom, which says, in particular, that $\partial D_1 = C$. \square

Proposition 2.4. *Let U be a Jordan domain, and C a simple closed curve in \overline{U} . Then there exists a Jordan domain V bounded by C such that $V \subseteq U$.*

Proof. The Jordan domain $X - \overline{U}$ is a connected set disjoint from C . Therefore, it lies in one of the two Jordan domains bounded by C . It follows that the other Jordan domain bounded by C (call it V) is contained in \overline{U} . Since V is open, it is also contained in the interior of \overline{U} , which coincides with U by Proposition 2.2. \square

Lemma 2.5 (Simplification of paths). *Let $\alpha : [0, 1] \rightarrow X$ be a continuous path in X such that $\alpha(0) \neq \alpha(1)$. Then there exists a simple path $\beta : [0, 1] \rightarrow X$ such that $\beta(0) = \alpha(0)$, $\beta(1) = \alpha(1)$, and $\beta[0, 1] \subseteq \alpha[0, 1]$.*

We will call β a *simplification* of α . Of course, in general, a simplification is not unique. This lemma works in greater generality: X can be replaced with any Hausdorff topological space.

Proof. We say that two subintervals of $[0, 1]$ are *essentially disjoint* if their intersection is the empty set or a common endpoint. Let $I_1 = [a_1, b_1]$ be a longest interval in $[0, 1]$ such that $\alpha(a_1) = \alpha(b_1)$ (it is possible that $a_1 = b_1$; this happens if α was already simple). Define $I_n = [a_n, b_n]$ inductively as a longest interval in $[0, 1]$ essentially disjoint from I_1, \dots, I_{n-1} such that $\alpha(a_n) = \alpha(b_n)$. If the intervals I_n thus defined contain more than one point, then they are actually pair-wise disjoint, due

to the maximality of length assumption. There is a continuous map $\xi : [0, 1] \rightarrow [0, 1]$ whose non-trivial fibers are precisely the intervals I_n . Define the map $\beta : [0, 1] \rightarrow X$ as follows: if $\xi^{-1}(s)$ is a single point t , then we set $\beta(s) = \alpha(t)$. If $\xi^{-1}(s) = [a_n, b_n]$, then we set $\beta(s) = \alpha(a_n) = \alpha(b_n)$. Clearly, β is a continuous path. It remains to prove that β is simple. Suppose that $\beta(s) = \beta(s')$ for $s \neq s'$. Let I be the smallest interval containing both $\xi^{-1}(s)$ and $\xi^{-1}(s')$. For every n , we have either $I_n \subset I$ or $I_n \cap I = \emptyset$ (i.e. an endpoint of I cannot be in the interior of I_n). Choose the maximal n , for which $I_n \cap I = \emptyset$ (set $n = 0$ if all I_n are in I). We must have $I_{n+1} \subset I$, which contradicts the maximality of I_{n+1} . \square

Proposition 2.6 (Approximate extension property). *Let U be an open connected subset of X . For any two boundary points $a, b \in \partial U$ and any connected neighborhoods $V_a \ni a$, $V_b \ni b$, there exists a simple path $\alpha : [0, 1] \rightarrow \bar{U}$ such that $\alpha(0) \in \partial U \cap V_a$, $\alpha(1) \in \partial U \cap V_b$, and $\alpha(0, 1) \subset U$.*

Proof. Since U is open and connected, it is path connected. Therefore, there exists a continuous path (not necessarily simple) in U connecting some point a' of $V_a \cap U$ to some point b' of $V_b \cap U$. There is also a continuous path in V_a connecting a to a' , and a continuous path in V_b connecting b' to b . Let $\tilde{\alpha} : [0, 1] \rightarrow X$ be the concatenation of these three paths. The path $\tilde{\alpha}$ connects points a and b in X but does not necessarily lie in U . We can choose a parameterization, however, so that $\alpha(t)$ lies in V_a for t close to 0, in V_b for t close to 1, and in U for all other values of t . Let (t_0, t_1) be the maximal interval such that $\tilde{\alpha}(t_0, t_1) \subset U$. Reparameterize the restriction of $\tilde{\alpha}$ to $[t_0, t_1]$ by $[0, 1]$, and simplify this path (by Lemma 2.5). The path α thus obtained satisfies the desired properties. \square

Proposition 2.7. *Consider a Jordan domain D and a pair of different points a, c in ∂D . Let ac be a simple curve connecting a to c and lying entirely in D , except for the endpoints. Then ac divides D into exactly two components; these components are Jordan domains.*

Proof. Choose points b and d in such a way that the four points a, b, c, d lie on the simple closed curve ∂D in this cyclic order. Define the simple closed curves abc and adc as the unions of ac with the arcs of ∂D bounded by $\{a, c\}$ and containing b and d , respectively. Let D_{abc} be the Jordan domain bounded by abc and contained in D (it exists by Proposition 2.4). Similarly, define D_{adc} as the Jordan domain bounded by adc and contained in D . Since D_{abc} is contained in $D - ac$, but ∂D_{abc} is disjoint from $D - ac$, the domain D_{abc} is a connected component of $D - ac$. Similarly, D_{adc} is also a connected component of $D - ac$. We need to prove that there are no other connected components of $D - ac$. Assume the contrary: Ω is yet another connected component.

The curve ac is a proper arc of the simple closed curve abc , thus ac cannot contain the boundary of Ω by Proposition 2.3. It follows that there exists a point $e \in \partial\Omega \cap \partial D$ different from a and c . To fix the ideas, assume that $e \in abc$. On the other hand,

we cannot have $\partial\Omega \subseteq \partial D$; otherwise $\Omega = D$. It follows that there exists a point $f \in \partial\Omega \cap ac$ different from a and c .

Choose sufficiently small disjoint Jordan neighborhoods $V_e \ni e$ and $V_f \ni f$. Then V_e is disjoint from ac , and V_f is disjoint from ∂D . We also have that $V_e \cap \partial\Omega \subset abc$ and $V_f \cap \partial\Omega \subset ac$. By Proposition 2.6, there is a simple curve connecting a point in $V_e \cap abc$ to a point in $V_f \cap ac$ and lying entirely in Ω , except for the endpoints. There is also a simple curve connecting a (possibly different) point in $abc - ac$ to a (possibly different) point in ac and lying entirely in D_{abc} , except for the endpoints. The union of these two simple curves and two arcs of abc (one in $abc - ac$, the other in ac) is a simple closed curve C in \overline{D} . Consider the Jordan domain D_{ef} bounded by C and contained in D . The boundary of D_{ef} intersects both Ω and D_{abc} . Therefore, D_{ef} itself intersects both Ω and D_{abc} (since these two sets are open). Thus D_{ef} must also intersect ac . This is impossible, however, because in this case, the intersection of the boundary of D_{ef} with ac must have more than one connected component. \square

Proposition 2.8. *Consider a Jordan domain D and four different points a, b, c, d in ∂D that appear in this cyclic order. Let ac (resp. bd) be a simple curve connecting a with c (resp. b with d) and lying entirely in D , except for the endpoints. Then the curves ac and bd intersect.*

Proof. Let D_{abc} and D_{adc} be as in Proposition 2.7. We know that D is the union of D_{abc} , D_{adc} and the curve ac minus the endpoints. The points on the curve bd that are close to b must belong to D_{abc} because b is not in the closure of D_{adc} . Similarly, the points on bd that are close to d must belong to D_{adc} . It follows that bd intersects ac . \square

Proposition 2.9. *Let U and V be Jordan domains, and $x \in \partial U \cap V$. There exists a Jordan domain $W \subseteq U \cap V$ such that $x \in \partial W$. Moreover, W can be chosen as a connected component of $U \cap V$.*

Proof. Let $u : [0, 1] \rightarrow \partial U$ be a surjective continuous path such that $u(0) = u(1) = x$, and $u(t) \neq u(t')$ for $t \neq t'$ unless $\{t, t'\} = \{0, 1\}$. Define a *supporting interval* as a subinterval $[a, b]$ of $(0, 1)$ such that $u(a), u(b) \in \partial V$, and there is a component of $\partial V - \{u(a), u(b)\}$ that lies in U . We call this component a *penetrating arc*. Note that two different penetrating arcs are necessarily disjoint (because an endpoint of a penetrating arc cannot lie in another penetrating arc). Note that, by Proposition 2.8, any two supporting intervals are either nested or essentially disjoint.

Since penetrating arcs are disjoint, they form a null-sequence (i.e. every subsequence contains a further subsequence converging to a point). It follows that the corresponding supporting intervals also form a null-sequence. In particular, in any subset of supporting intervals, there exists a longest interval with respect to the standard length (i.e. the length of $[a, b]$ is $|b - a|$).

Let I_1 be the longest supporting interval, I_2 the longest supporting interval essentially disjoint from I_1 , etc. For any $n > 1$, we define I_n as the as the longest supporting interval essentially disjoint from I_1, \dots, I_{n-1} (if any). In this way, we

obtain a finite or countable sequence of essentially disjoint supporting intervals. In the case of countably many segments, their lengths tend to zero.

Consider the simple closed curve Γ obtained from ∂U by replacing every arc $u(I_k) = u[a_k, b_k]$ with a corresponding penetrating component of $\partial V - \{u(a_k), u(b_k)\}$ (e.g. this component can be re-parameterized by the interval $[a_k, b_k]$). Clearly, Γ contains x and lies in \bar{U} . Therefore, there is a Jordan domain W bounded by Γ and contained in U . We claim that also $W \subseteq V$. Since Γ intersects V (e.g. $x \in \Gamma \cap V$), the domain W also intersects V . It suffices to prove that W is disjoint from the boundary of V . Assume the contrary: there is a component A of $\partial V \cap W$ connecting a pair of points in Γ . Since $W \subset U$, the arc A belongs to some penetrating arc of ∂V bounded by $u(a)$ and $u(b)$. The endpoints of A are in Γ , therefore, A coincides with this penetrating arc. By definition, $[a, b]$ is a supporting interval of $(0, 1)$. By construction, it must belong to some $I_k = [a_k, b_k]$. Then the points $u(a)$ and $u(b)$ can only be in Γ if $a = a_k$ and $b = b_k$. However, in this case, $u(a)$ and $u(b)$ cannot be endpoints of a component of $\partial V \cap W$. The contradiction shows that $W \subseteq V$ and, therefore, $W \subseteq U \cap V$. Since all boundary points of W belong to $\partial U \cup \partial V$, there is no connected open set contained in $U \cap V$ and having W as a proper subset. It follows that W is a connected component of $U \cap V$. \square

Proposition 2.10. *Consider a Jordan domain U in X and a point x on the boundary of U . Then there is a sequence of Jordan domains U_n such that*

$$U_{n+1} \subset U_n \subset U, \quad \bigcap \bar{U}_n = \{x\}.$$

Proof. Let V_n be a basis of neighborhoods of x consisting of Jordan domains. Set U_1 to be a component of $U \cap V_1$, whose boundary is a simple closed curve containing x . The existence of such component follows from Proposition 2.9. Set U_2 to be a component of $U_1 \cap V_2$, whose boundary is a simple closed curve containing x , etc. In this way, we construct a sequence U_n of Jordan domains with the desired properties. \square

Proposition 2.11. *Let U be a Jordan domain in X . For any point $o \in U$ and any point x on the boundary of U , there is a simple path $\beta : [0, 1] \rightarrow \bar{U}$ such that $\beta(0) = o$, $\beta(1) = x$, and $\beta(t) \in U$ for all $t \in [0, 1)$.*

Proof. Let U_n be a sequence of Jordan domains such that $U_{n+1} \subseteq U_n \subseteq U$ and $\bigcap \bar{U}_n = \{x\}$ (such sequence exists by Proposition 2.10). Take $x_n \in U_n$. We can now define a continuous path α (not necessarily simple) as follows. The restriction of α to $[0, 1/2]$ is a path connecting o to x_1 in U . Next, the restriction of α to $[1/2, 3/4]$ is defined as a path connecting x_1 to x_2 in U_1 . Inductively, we define the restriction of α to $[1 - 2^{-n}, 1 - 2^{-n-1}]$ as a path connecting x_n to x_{n+1} in U_n . We obtain a path $\alpha : [0, 1) \rightarrow U$ such that $\alpha(t) \rightarrow x$ as $t \rightarrow 1$. (This is because x is the only intersection point of \bar{U}_n). Therefore, we can set $\alpha(1) = x$ thus obtaining a continuous path $\alpha : [0; 1] \rightarrow \bar{U}$ such that $\alpha(t) \in U$ for all $t \in (0, 1)$. Finally, we define β as a simplification of α . \square

The Extension property (Theorem 2.1) follows from Proposition 2.11 and Lemma 2.5.

3. SIMPLE INTERSECTIONS

The boundaries of two Jordan domains can intersect in a complicated way. In this section, we will develop a machinery that reduces many questions on mutual position of Jordan domains to the case, where the boundaries intersect in a simple way. As in the preceding section, we consider a compact, connected, locally path connected, Hausdorff topological space X satisfying the Jordan domain axiom and the Basis axiom.

We say that a simple closed curve A in X has only *simple intersections* with a simple closed curve B in X if $A \cap B$ is a finite set, and every two adjacent components of $A - B$ lie on different sides of B , i.e. in different Jordan domains bounded by B .

Lemma 3.1. *Suppose that A has only simple intersections with B . Then B has only simple intersections with A .*

Proof. We need to prove that every two adjacent components of $B - A$ lie on different sides of A . Suppose not: two adjacent components B_1 and B_2 of $B - A$ belong to the same Jordan domain D bounded by A . Let D' be the other Jordan domain bounded by A , and b the common endpoint of B_1 and B_2 . Denote by A_1 and A_2 the two components of $A - B$ incident to b . If U is a sufficiently small Jordan domain neighborhood of b , then $U \cap A \subset A_1 \cup A_2 \cup \{b\}$. By Proposition 2.9, there exists a Jordan domain V such that $b \in \partial V$, and V is a connected component of $U \cap D'$. There exist two points $a_1 \in \partial V \cap A_1$ and $a_2 \in \partial V \cap A_2$ and a component C of $\partial V \cap D'$ connecting a_1 with a_2 . The curve C is disjoint from B . Therefore, a_1 and a_2 must be in the same component of $X - B$; a contradiction. \square

The main objective of this section is to prove the following

Theorem 3.2 (Covering property). *Let \mathcal{U} be a finite open covering of \bar{D} , where D is a Jordan domain in X . Then there exists a refinement \mathcal{U}' of \mathcal{U} of the same cardinality such that every element $U' \in \mathcal{U}'$ is a Jordan domain, whose boundary has only simple intersections with ∂D .*

Recall that a covering \mathcal{U}' is a *refinement* of \mathcal{U} if for every $U' \in \mathcal{U}'$ there exists $U \in \mathcal{U}$ such that $U' \subseteq U$.

Lemma 3.3 (The Scylla and Charybdis Lemma). *Let D be a Jordan domain and Γ a simple closed curve intersecting ∂D . Fix two points $a, b \in \partial D$ that are not on the boundary (taken in ∂D) of $\Gamma \cap \partial D$ and such that, for every component A of $\Gamma \cap D$, the endpoints of A do not separate a from b in ∂D . Then the points a and b can be connected by a simple curve lying entirely in D (except for the endpoints) and disjoint from Γ (except, possibly, for the endpoints).*

The name of the lemma is due to the fact that parts of Γ can “penetrate” into D from “both sides”, i.e. through both components of $\partial D - \{a, b\}$.

Proof. Let U be a Jordan domain bounded by Γ that contains a . By Proposition 2.9, there is a component V of $D \cap U$ such that $a \in \partial V$. (In the case a is an interior point, with respect to ∂D , of $\Gamma \cap \partial D$, we need an obvious modification of Proposition 2.9, the proof is the same). Moreover, V is a Jordan domain. From the construction of V given in the proof of Proposition 2.9 it follows that b is also on the boundary of V . It remains to use the Extension property (Theorem 2.1) to conclude that there is a simple curve connecting a and b and lying in V , except for the endpoints. Clearly, this curve is disjoint from Γ . \square

The following lemma is taken from [M19] (except for the name):

Lemma 3.4 (The Bump Lemma). *Let D be a Jordan domain and Γ a simple closed curve. Consider two points $a, b \in \partial D$ that are not on the boundary (taken in ∂D) of $\Gamma \cap \partial D$. Then there is a simple curve C with endpoints a and b such that $C - \{a, b\} \subset D$, and $C \cap \Gamma$ is finite.*

Proof. It is not hard to see that there is a simple curve C' connecting a with b and lying in D , except for the endpoints, with the following property: there are non-degenerate segments ad and bc of C' that are disjoint from Γ , apart from (possibly) the endpoints (non-degenerate means containing more than one point). Consider the Jordan domain $U \subset D$ bounded by C' and an arc of ∂D between a and b . These two curves will be referred to as the *sides* of U , and the points a and b as the *vertices* of U .

Let L be a component of $\Gamma \cap U$. We call L a *crossing component* if the endpoints of L belong to different sides of U . There are only finitely many crossing components (otherwise, they would accumulate somewhere, which contradicts the fact that Γ is a simple closed curve). By the Jordan domain axiom, the crossing components subdivide U into several Jordan subdomains U_0, \dots, U_n so that $\partial U_i \cap \partial U_{i+1}$ is the closure of a crossing component L_i for $i = 0, \dots, n-1$. Choose arbitrary points $a_i \in L_i$ for $i = 1, \dots, n-1$, and set $a_0 = a$, $a_n = b$. By the Scylla and Charybdis Lemma, Lemma 3.3, we can connect a_i to a_{i+1} by a simple curve lying entirely in U_i , except for the endpoints, and disjoint from Γ (except, again, for the endpoints).

The union of the curves thus obtained is a simple curve C connecting a with b , for which the intersection $C \cap \Gamma$ is finite (one point in every crossing component of Γ , and possibly the endpoints a and/or b). \square

A corollary of the Bump Lemma is the following

Corollary 3.5. *Let D be a Jordan domain, and Γ a simple closed curve. For every compact subset $K \subset D$, there exists a Jordan domain $\tilde{D} \subseteq D$ containing K such that $\partial \tilde{D} \cap \Gamma$ is finite.*

Proof. Every point $x \in \partial D$ has a Jordan domain neighborhood U_x disjoint from K . By compactness, ∂D can be covered with a finite number of such neighborhoods. Divide ∂D into arcs so that every arc of this subdivision is contained in some U_x . Consider an arc A of our subdivision with endpoints a and b . By construction, there

is a Jordan domain U containing A and disjoint from K . Let V be a component of $U \cap D$, whose boundary contains A (such component exists by Proposition 2.9). By the Bump Lemma, Lemma 3.4, there is a simple curve C connecting a with b , lying entirely in V , except for the endpoints, and intersecting Γ in finitely many points (we may need to replace a and b with some nearby points, bounding a slightly larger arc, to make the Bump Lemma applicable). Replace A with C in ∂D . Then we obtain a new Jordan curve. It is straightforward to check that the Jordan domain D' bounded by the new Jordan curve and contained in D satisfies the following property: $K \subset D' \subset D$. Indeed, by Proposition 2.7, the curve C divides D into two Jordan domains D' and D'' . The domain D'' is contained in U , hence is disjoint from K . It remains to repeat the same procedure with other arcs of our subdivision. \square

If two simple closed curves have only finitely many intersection points, then one can perturb one of the curves to make the intersections simple:

Proposition 3.6. *Let Γ be a simple closed curve, and D a Jordan domain such that $\partial D \cap \Gamma$ is finite. Then, for any compact subset $K \subset D$, there exists a Jordan domain \tilde{D} such that $\partial \tilde{D}$ and Γ have only simple intersections, and $K \subset \tilde{D} \subset D$.*

Proof. Indeed, let x be an intersection point of Γ and ∂D such that the two components of $\partial D - \Gamma$ having x as a limit point lie on the same side of Γ , i.e. in the same Jordan domain bounded by Γ . Let V be a small neighborhood of x such that V is disjoint from K and $V \cap \partial D \cap \Gamma = \{x\}$. Consider an arc A of ∂D lying in V and containing x in its interior (taken in ∂D). There is a simple curve $A' \subset V$ connecting the endpoints of A that lies in V , except for the endpoints, and has only simple intersections with Γ (a construction of such curve is given in the proof of the Bump Lemma, Lemma 3.4).

Let D' be the Jordan domain bounded by $(\partial D - A) \cup A'$ and not containing x . Then the number of non-simple intersection points in $\partial D' \cap \Gamma$ is less than that in $\partial D \cap \Gamma$. Moreover, we have $K \subset D' \subset D$. Proceed in the same way to remove other non-simple intersections. \square

The Covering property (Theorem 3.2) follows from Proposition 3.6.

4. QUADRILATERALS AND GRIDS

As in the preceding sections, consider a compact, connected, locally path connected, Hausdorff topological space X satisfying the Jordan domain axiom and the Basis axiom. A *quadrilateral* Q in X is defined as a Jordan domain with a distinguished quadruple of points $a, b, c, d \in \partial Q$ (appearing on ∂Q in this cyclic order) called the *vertices* of Q . The four vertices divide ∂Q into four (open in ∂Q) arcs called the *edges* of Q . We will refer to the edges ab and cd as the *vertical edges*, and to the edges bc and ad as the *horizontal edges*, although this terminology depends, of course, on the labeling of vertices.

A simple curve C is called *horizontal* with respect to a quadrilateral Q if $C \subseteq \overline{Q}$, the endpoints of C belong to different vertical edges, and C intersects ∂Q only by

the endpoints. Similarly, a simple curve C is called *vertical* with respect to Q if $C \subseteq \overline{Q}$, the endpoints of C belong to different horizontal edges, and C intersects ∂Q only by the endpoints. Define a *grid* in the quadrilateral Q as a collection of finitely many horizontal curves and finitely many vertical curves such that two different horizontal curves and two different vertical curves are disjoint, and every horizontal curve meets every vertical curve at exactly one point. A repeated application of Proposition 2.7 yields that a grid consisting of n horizontal and m vertical curves divides Q into $(n+1)(m+1)$ Jordan domains called *cells* of the grid. We will prove the existence of grids with certain properties. To this end, we will need the following proposition, which is a corrected version of a statement from [M19].

Proposition 4.1. *Let Γ be a simple closed curve such that $\Gamma \cap \partial Q$ is nonempty, contains simple intersections only (in particular, is finite), and does not contain vertices of Q . Then there exists a grid G in Q such that $\Gamma \cap \overline{Q}$ is contained in the union of all horizontal and vertical curves of G .*

Proof. The intersection $\Gamma \cap \overline{Q}$ consists of several simple disjoint curves with endpoints on ∂Q . Let Q' be the unit square in the plane. There is a bijection between the finite set $P = (\Gamma \cap \partial Q) \cup \{a, b, c, d\}$ and a finite set P' of points on $\partial Q'$ containing all vertices of Q' such that vertices correspond to vertices and the bijection preserves the cyclic order. On P' , we can define the *adjacency relation* as follows: two points are called *adjacent* if the corresponding points in P are connected by an arc of Γ lying in \overline{Q} . It follows from Proposition 2.8 that a pair of adjacent points cannot separate another pair of adjacent points in $\partial Q'$.

An *xy-curve* in the plane is defined as a broken line consisting of intervals parallel to the x -axis or to the y -axis. Clearly, we can connect all pairs of adjacent points in P' by disjoint *xy-curves* lying entirely in Q' , except for the endpoints. This set of disjoint *xy-curves* lies in the union of all vertical and horizontal intervals of some grid G' in Q' consisting of vertical, i.e. parallel to the y -axis, straight line intervals and horizontal, i.e. parallel to the x -axis, straight line intervals. To construct G' , it suffices to extend all intervals in all *xy-curves* that we have.

Using the Extension property (Theorem 2.1) several times, we can extend $\Gamma \cap \overline{Q}$ to a grid “combinatorially equivalent” (in an obvious sense) to the grid G' in Q' . \square

The following result is crucial for the proof of Theorem 1.2.

Theorem 4.2. *Let \mathcal{U} be any open covering of \overline{Q} . Then there exists a grid G in Q that is subordinate to \mathcal{U} , i.e. such that the closure of every cell of G is contained in some element of \mathcal{U} .*

Proof. By the Basis axiom, we can assume that \mathcal{U} consists of Jordan domains. By compactness, we can also assume that \mathcal{U} is finite. We will now prove the following statement by induction on n : for every quadrilateral Q and every covering \mathcal{U} of \overline{Q} by n Jordan domains, there exists a grid in Q subordinate to \mathcal{U} . The base of induction is obvious: if \mathcal{U} consists of only one Jordan domain U , then $\overline{Q} \subset U$, and the empty grid works.

We can now perform the induction step. Let $U \in \mathcal{U}$ be a Jordan domain containing the vertex a of Q . By Corollary 3.5 and Proposition 3.6, there is a Jordan domain $V \subseteq U$ such that $(\mathcal{U} - \{U\}) \cup \{V\}$ is still a covering of \overline{Q} , and ∂V has only simple intersections with ∂Q . By Proposition 4.1, there is a grid G_0 in Q such that ∂V is contained in the union of all horizontal and all vertical curves of G_0 . Let C be any cell of G_0 not covered by V (hence disjoint from V). Since \overline{C} is covered by $\mathcal{U} - \{U\}$, we can use the induction hypothesis to conclude that there is a grid G_C in C subordinate to \mathcal{U} . In this way, we get grids subordinate to \mathcal{U} in all cells of G_0 . It remains to use the Extension property (Theorem 2.1) to extend all these grids to a single grid in Q , which would also be subordinate to \mathcal{U} . \square

Proof of Theorem 1.2. Consider an arbitrary quadrilateral Q in X . It suffices to prove that the closure of Q is homeomorphic to the closed disk or, equivalently, to the standard square $[0, 1] \times [0, 1]$.

By the Basis axiom, there is a countable basis \mathcal{B} of the topology in X . There are countably many finite open coverings of \overline{Q} by elements of \mathcal{B} . Number all such coverings by natural numbers. We will define a sequence of grids G_n in Q by induction on n . For $n = 1$, we just take the empty grid, the one that does not have any horizontal or vertical curves. Suppose now that G_n is defined. Let \mathcal{U}_n be the n -th covering of \overline{Q} . Using Theorem 4.2, we can find a grid in each cell of G_n that is subordinate to \mathcal{U}_n . Using the Extension property (Theorem 2.1), we can extend these grids to a single grid G_{n+1} in Q . Thus G_{n+1} contains G_n and is subordinate to \mathcal{U}_n .

Consider any pair of different points $x, y \in Q$. There exists n such that x and y do not belong to the closure of the same cell in G_{n+1} . Indeed, let us first define a covering of \overline{Q} as follows. For any $z \in \overline{Q}$, choose U_z to be an element of \mathcal{B} that contains z but does not include the set $\{x, y\}$. The sets U_z form an open covering of \overline{Q} . Since \overline{Q} is compact, there is a finite subcovering. This finite subcovering coincides with \mathcal{U}_n for some n . Then, by our construction, the closure of every cell in G_{n+1} is contained in a single element of \mathcal{U}_n . However, the set $\{x, y\}$ is not contained in a single element of \mathcal{U}_n . Therefore, $\{x, y\}$ cannot belong to the closure of a single cell.

Consider a nested sequence $C_1 \subset C_2 \supset \dots \supset C_n \supset \dots$, where C_n is a cell of G_n . We claim that the intersection of the closures \overline{C}_n is a single point. Indeed, this intersection is nonempty, since \overline{C}_n form a nested sequence of nonempty compact sets. On the other hand, as we saw, there is no pair $\{x, y\}$ of different points contained in all \overline{C}_n .

Consider the standard square $[0, 1] \times [0, 1]$ and a sequence H_n of grids in it with the following properties:

- (1) all horizontal curves in H_n are horizontal straight intervals, all vertical curves in H_n are vertical straight intervals;
- (2) the grid H_n has the same number of horizontal curves and the same number of vertical curves as G_n , thus there is a natural one-to-one correspondence

- between cells of H_n and cells of G_n respecting “combinatorics”, i.e. the cells in H_n corresponding to adjacent cells in G_n are also adjacent;
- (3) the grid H_{n+1} contains H_n ; moreover, if a cell of H_{n+1} is in a cell of H_n , then there is a similar inclusion between the corresponding cells of G_{n+1} and G_n ;
- (4) between any horizontal interval of H_n and the next horizontal interval, the horizontal intervals of H_{n+1} are equally spaced; similarly, between any vertical interval of H_n and the next vertical interval, the vertical intervals of H_{n+1} are equally spaced.

It is not hard to see that any nested sequence of cells D_n of H_n converges to a point: $\bigcap \overline{D}_n = \{pt\}$.

We can now define a map $\Phi : \overline{Q} \rightarrow [0, 1] \times [0, 1]$ as follows. For a point $x \in \overline{Q}$, there is a nested sequence of cells C_n of G_n such that \overline{C}_n contains x for all n . Define the point $\Phi(x)$ as the intersection of the closures of the corresponding cells D_n in H_n . (Note that they also form a nested sequence according to our assumptions). Clearly, the point $\Phi(x)$ does not depend on a particular choice of the nested sequence C_n (there can be at most four different choices). It is also easy to see that Φ is a homeomorphism between \overline{Q} and the standard square $[0, 1] \times [0, 1]$. \square

5. THE JORDAN CURVE THEOREM

In this section, we deal with topology of the 2-sphere S^2 . One of the most fundamental results in topology of S^2 is the *Jordan curve theorem*: a simple closed curve in the 2-sphere divides the sphere into two connected components. In this section, we prove a certain generalization of the Jordan curve theorem, which we need to prove Theorem 1.1. This generalization is also due (mainly) to Moore. A proof, however, can be obtained by a slight modification of a modern standard proof of the Jordan curve theorem.

We will need the following standard facts from algebraic topology, which we quote without proof:

Theorem 5.1 (Alexander’s duality). *Let U be an open subset of the sphere such that $S^2 - U$ has $k < \infty$ connected components. Then the first Betti number $h_1(U)$ is equal to $k - 1$.*

Theorem 5.2 (Mayer–Vietoris sequence). *Let U_1 and U_2 be open subsets of the 2-sphere. Then the homology spaces of U_1 , U_2 , $U_1 \cap U_2$ and $U_1 \cup U_2$ with real coefficients form the following exact sequence*

$$\begin{aligned} 0 \rightarrow H_1(U_1 \cap U_2) \rightarrow H_1(U_1) \oplus H_1(U_2) \rightarrow H_1(U_1 \cup U_2) \xrightarrow{\partial_*} \\ \xrightarrow{\partial_*} H_0(U_1 \cap U_2) \rightarrow H_0(U_1) \oplus H_0(U_2) \rightarrow H_0(U_1 \cup U_2) \rightarrow 0. \end{aligned}$$

These are partial cases of much more general theorems known by the names given in parentheses. Note that no fancy homology theory is needed because open sets in the sphere are smooth manifolds. E.g. one can use simplicial homology. Proofs of

the facts cited above (and their generalizations) can be found in standard textbooks on algebraic topology. See e.g. [N] for Theorem 5.1 and [Mun] for Theorem 5.2.

Let U_1 and U_2 be open sets in S^2 . Then the Betti numbers of U_1 , U_2 , $U_1 \cap U_2$ and $U_1 \cup U_2$ are related as follows:

$$\begin{aligned} & h_1(U_1 \cap U_2) - h_1(U_1) - h_1(U_2) + h_1(U_1 \cup U_2) - \\ & - h_0(U_1 \cap U_2) + h_0(U_1) + h_0(U_2) - h_0(U_1 \cup U_2) = 0. \end{aligned}$$

This follows immediately from Theorem 5.2 and the following algebraic fact: the alternating sum of the dimensions of vector spaces forming an exact sequence is equal to zero.

The most nontrivial and interesting map in the Mayer–Vietoris exact sequence is the *connecting homomorphism* ∂_* . It is defined as follows. Represent an element of $H_1(U_1 \cup U_2)$ by a simplicial cycle $\beta = \alpha_1 - \alpha_2$, where α_i is a simplicial chain supported in U_i . The image $\partial_*[\beta]$ is defined as the element of $H_0(U_1 \cap U_2)$ represented by the cycle $\partial\alpha_1 = \partial\alpha_2$ in $U_1 \cap U_2$ (note that this cycle is homologous to zero in U_1 and in U_2 but, in general, not in $U_1 \cap U_2$). All other maps in the Mayer–Vietoris sequence are induced by the inclusions

$$U_1 \cap U_2 \hookrightarrow U_1, U_2 \hookrightarrow U_1 \cup U_2.$$

We say that a closed set $Z \subset S^2$ *separates* two points of S^2 if these two points belong to different connected components of $S^2 - Z$.

Proposition 5.3. *Suppose that subsets X_1 and X_2 of S^2 are closed and do not separate points a and b in the sphere. If $X_1 \cap X_2$ is connected, then $X_1 \cup X_2$ does not separate a from b either.*

Proof. Let U_i denote the complement to X_i in the sphere, $i = 1, 2$. Thus U_1 and U_2 are open sets. Moreover, a and b are in the same component of U_1 and in the same component of U_2 . Thus, we can connect a to b by a simple curve A_i lying entirely in U_i , $i = 1, 2$. Moreover, we can assume that A_i is the support of some simplicial chain α_i oriented from a to b . Then $\beta = \alpha_1 - \alpha_2$ is a simplicial cycle.

By definition of the boundary map (in the Mayer–Vietoris exact sequence)

$$\partial_* : H_1(U_1 \cup U_2) \rightarrow H_0(U_1 \cap U_2),$$

the homology class of $\partial\alpha_1 = \partial\alpha_2$ is equal to $\partial_*([\beta])$, where $[\beta]$ is the homology class of β . On the other hand, $H_1(U_1 \cup U_2) = 0$ by Alexander's duality and the fact that $X_1 \cap X_2$ is connected. It follows that $\partial\alpha_1$ is a boundary. But then $0 = [\partial\alpha_1] = [b] - [a]$, where $[a]$ and $[b]$ are classes of points a and b in $H_0(U_1 \cap U_2)$. It follows that a and b are in the same connected component of $U_1 \cap U_2$, i.e. are not separated by $X_1 \cup X_2$. \square

Recall that a map $F : t \mapsto F(t)$ assigning a compact subset $F(t)$ in a Hausdorff space X to every element t of some topological space T is called *upper semicontinuous* if for every $t \in T$ and every open neighborhood U of $F(t)$, there is an open neighborhood V of t with the property $F(t') \subset U$ for all $t' \in V$.

Proposition 5.4. *Consider a map F of the interval $[0, 1]$ into the set of compact subsets in S^2 such that $F(t) \cap F(t') = \emptyset$ for $t \neq t'$. Then F is upper semi-continuous if and only if for every closed subinterval A of $[0, 1]$, the union*

$$F(A) = \bigcup_{t \in A} F(t)$$

is closed. The same statement is true if, instead of just subintervals, we consider all closed subsets in $[0, 1]$.

A similar statement holds, in which $[0, 1]$ is replaced with S^1 , with the same proof.

Proof. Suppose that $F(A)$ is closed for every closed subinterval $A \subset [0, 1]$. Choose a point t_∞ in $[0, 1]$ and a nested sequence A_n of subintervals in $[0, 1]$ containing t_∞ in their relative interiors (taken in $[0, 1]$), whose intersection is $\{t_\infty\}$. Clearly, the intersection of compact sets $F(A_n)$ is then $F(t_\infty)$ (this follows from the fact that $F(t)$ is disjoint from $F(t')$ for $t \neq t'$). Let U be any open neighborhood of $F(t_\infty)$ in the sphere. The sets $F(A_n) - U$ form a nested sequence of compact sets, whose intersection is empty. Therefore, all these sets must be empty for n big enough, i.e. $F(t) \subset U$ for all $t \in A_n$.

Conversely, suppose that the map F is upper semicontinuous. Let A be a closed subset of $[0, 1]$ (not necessarily a subinterval). We need to prove that the set $F(A)$ is closed. Indeed, choose any sequence $x_n \in F(t_n)$ that converges to some point x_∞ of the sphere. Passing to a subsequence if necessary, we can assume that the sequence t_n converges to some $t_\infty \in A$. Since the sets $F(t_n)$ accumulate on $F(t_\infty)$, we must have $x_\infty \in F(t_\infty) \subset F(A)$. \square

Proposition 5.5. *Consider an upper semicontinuous map F from $[0, 1]$ to the set of compact subsets in S^2 . If $F(t)$ is connected for every $t \in [0, 1]$, then the union $F[0, 1]$ is also connected.*

Proof. Assume the contrary: $F[0, 1]$ splits into a disjoint union of two closed subsets. Since all $F(t)$, $t \in [0, 1]$, are connected, every term of this splitting must be a union of sets $F(t)$, i.e. the splitting must have the form $F(A) \sqcup F(B)$, where $[0, 1] = A \sqcup B$, and both $F(A)$ and $F(B)$ are closed. However, since $[0, 1]$ is connected, we cannot have that both A and B are closed. Suppose, say, that $\overline{A} \cap B \neq \emptyset$. Then, for every $t \in \overline{A} \cap B$, we must have $F(t) \subset \overline{F(A)} \cap F(B)$, which contradicts the fact that $F(A)$ and $F(B)$ are disjoint. \square

A subset of S^2 is called *non-separating* if it does not separate the sphere. The following theorem generalizes the fact that a simple curve is non-separating [J].

Theorem 5.6. *Consider an upper semicontinuous map F from $[0, 1]$ to the set of compact connected subsets of the sphere. If all $F(t)$ are disjoint and non-separating, then $F[0, 1]$ is non-separating.*

Proof. Assume the contrary: there are two points a and b in the complement to $F[0, 1]$ such that $F[0, 1]$ separates a from b . Consider two compact sets $F[0, 1/2]$

and $F[1/2, 1]$. Their intersection $F(1/2)$ is connected. By Proposition 5.3, either $F[0, 1/2]$ or $F[1/2, 1]$ separates a from b . We can continue the same process to obtain a nested sequence of subintervals $A_n \subset [0, 1]$ such that $F(A_n)$ separate a from b and $\bigcap A_n$ is a single point $t \in [0, 1]$. However, we know that $F(t)$ is non-separating. Choose a simple curve C connecting a to b in the complement to $F(t)$. There is a neighborhood U of $F(t)$ disjoint from C . The sets $F(A_n)$ must be contained in U for all large n . A contradiction with the fact that $F(A_n)$ separates a from b . \square

The main theorem of this section, which is a generalization of the Jordan curve theorem, is the following:

Theorem 5.7. *Consider an upper semicontinuous map F from the circle S^1 to the set of compact connected subsets in the sphere. Suppose that all $F(t)$ are disjoint, and do not separate the sphere. Then the complement to the set $F(S^1)$ consists of exactly two connected components.*

Proof. Consider two closed arcs A_1 and A_2 of S^1 such that $A_1 \cap A_2$ is a pair of points (the common endpoints of A_1 and A_2) and $S^1 = A_1 \cup A_2$ (the second condition follows from the first). Set $X_i = F(A_i)$ and $U_i = S^2 - X_i$. We can use the Mayer–Vietoris theorem 5.2 for U_1 and U_2 . What we want to know is the term $h_0(U_1 \cap U_2)$. It turns out that we can compute all other terms. By Theorem 5.1 and Proposition 5.5, we know all h_1 -terms:

$$h_1(U_1 \cap U_2) = h_1(U_1) = h_1(U_2) = 0, \quad h_1(U_1 \cup U_2) = 1.$$

By Proposition 5.6, the set X_i does not separate the sphere, hence $h_0(U_i) = 1$. The complement to $U_1 \cup U_2$ is the union of two disjoint compact connected non-separating sets. By Proposition 5.3, this union does not separate the sphere. Therefore, $h_0(U_1 \cup U_2) = 1$. By Theorem 5.2, we can now conclude that $h_0(U_1 \cap U_2) = 2$ as stated. \square

6. QUOTIENTS OF THE SPHERE

In this section, we prove Theorem 1.1. Consider a closed equivalence relation \sim on S^2 satisfying the assumptions of the theorem. The quotient space of a compact connected Hausdorff space by a closed equivalence relation is also compact, connected and Hausdorff. In addition, if the space is locally path connected, and all equivalence classes are connected, then the quotient is also locally path connected. See e.g. [K]. Thus we know that $X = S^2 / \sim$ is compact, Hausdorff, connected and locally path connected. To prove Theorem 1.1, we need to show that X satisfies the Jordan domain axiom and the Basis axiom. Let $\pi : S^2 \rightarrow X$ denote the canonical projection.

Theorem 6.1. *The space X satisfies the Jordan domain axiom. Namely, for every simple closed curve C in X , the complement to C consists of exactly two connected components. The boundary of each of these components coincides with C .*

As before, we call these connected components the *Jordan domains* bounded by the curve C .

Proof. Consider a closed simple path $\gamma : S^1 \rightarrow X$ parameterizing C , i.e. such that $\gamma(S^1) = C$. Define the function F from S^1 to the set of compact subsets in the sphere by the formula $F(t) = \pi^{-1}(\gamma(t))$. The sets $F(t)$ are disjoint, connected and non-separating. For any closed (hence compact) arc $A \subseteq S^1$, the set $\gamma(A)$ is compact (hence closed) in X , therefore, the set $\pi^{-1}(\gamma(A))$ is closed in S^2 . It follows that F satisfies the assumptions of Theorem 5.7. By this theorem, the complement to $F(S^1) = \pi^{-1}(C)$ splits into two disjoint open connected sets. These sets project to some open connected sets U_1 and U_2 in X . Clearly, $X = C \sqcup U_1 \sqcup U_2$.

It remains to prove that $\partial U_i = C$ for $i = 1, 2$. Clearly, $\partial U_i \subseteq C$. We want to show that the opposite inclusion holds. Take a point $x = \gamma(t) \in C$ and a neighborhood V of x . It suffices to prove that V will necessarily intersect ∂U_1 and ∂U_2 . Let I be a closed arc of S^1 such that $t \notin I$ and $I \cup \gamma^{-1}(V) = S^1$. By Theorem 5.6, the set $\gamma(I)$ does not separate X . It follows that x can be connected to some point in U_1 and to some point in U_2 by continuous paths avoiding $\gamma(I)$. Since x is neither in U_1 nor in U_2 , these paths must intersect ∂U_1 and ∂U_2 (respectively), and these intersections can only happen in V . \square

It remains to prove that X satisfies the Basis axiom.

Theorem 6.2. *Let $x, y \in X$ be two points, and $\alpha : S^1 \rightarrow S^2$ a simple closed path that separates $\pi^{-1}(x)$ from $\pi^{-1}(y)$. Then there is a simple closed curve Γ in X that separates x from y and such that $\Gamma \subseteq \pi \circ \alpha(S^1)$.*

In the proof given below, we assume that α is a smooth embedding. This is sufficient for our purposes. The proof can be easily extended to the general case using relative homology.

Proof. Let A be an open arc of S^1 . Suppose that the endpoints of A belong to $\pi^{-1}(C)$ for some equivalence class C of \sim . Consider a smooth curve β connecting $\pi^{-1}(x)$ to $\pi^{-1}(y)$ in S^2 and avoiding C (i.e. the endpoints of β belong to $\pi^{-1}(x)$ and $\pi^{-1}(y)$, respectively, and $\beta \cap C = \emptyset$). By a small perturbation, we can make β transverse to $\alpha(A)$. We say that A is *even* (respectively, *odd*), if every such β intersects $\alpha(A)$ even (respectively, odd) number of times. Note that the parity does not depend on β provided that β satisfies our assumptions, i.e. connects $\pi^{-1}(x)$ to $\pi^{-1}(y)$, avoids C and is transverse to $\alpha(A)$. Indeed, consider two such curves β_1 and β_2 . Let $\beta_i \cdot \alpha(A) \in \mathbb{Z}/2\mathbb{Z}$ be the residue modulo 2 that represents the parity of the cardinality of $\beta_i \cap \alpha(A)$, $i = 1, 2$. The sum $\gamma = \beta_1 + \beta_2$ represents a cycle in $H_1(S^2 - C, \mathbb{Z}/2\mathbb{Z})$. Since $H_1(S^2 - C, \mathbb{Z}/2\mathbb{Z}) = 0$, this cycle is homologous to 0. On the other hand, $\beta_1 \cdot \alpha(A) + \beta_2 \cdot \alpha(A)$ is the image of $([\gamma], [\alpha(A)])$ under the Poincaré pairing

$$H_1(S^2 - C, \mathbb{Z}/2\mathbb{Z}) \times H_1^c(S^2 - C, \mathbb{Z}/2\mathbb{Z}) \rightarrow \mathbb{Z}/2\mathbb{Z}.$$

Here H_1^c is the first homology space with compact support; the curve $\alpha(A)$ defines a homology class $[\alpha(A)]$ in $H_1^c(S^2 - C, \mathbb{Z}/2\mathbb{Z})$. This image is zero, therefore, $\beta_1 \cdot \alpha(A) = \beta_2 \cdot \alpha(A)$. The proof will now consist of several steps.

Step 1. Let A_n be a sequence of even arcs that converges to some arc A . We will now prove that A is also an even arc. Suppose that the endpoints of A_n belong to $\alpha^{-1}(C_n)$ for an equivalence class C_n of \sim . Clearly, C_n accumulate on some equivalence class C , and the endpoints of A belong to $\alpha^{-1}(C)$. Consider a smooth curve β connecting $\pi^{-1}(x)$ to $\pi^{-1}(y)$, avoiding C , and transverse to $\alpha(A)$. Since C_n accumulate on C , the path β is disjoint from C_n for sufficiently large n . It follows that $\beta \cdot \alpha(A_n) = 0 \pmod{2}$. Moreover, $\beta \cap \alpha(A_n) = \beta \cap \alpha(A)$ for sufficiently large n . Therefore, A is even.

Step 2. Let A_1 be a longest even arc of S^1 . From Step 1, it follows that such arc exists. (We measure the lengths with respect to some fixed metric on S^1). Denote by C_1 the equivalence class of \sim such that $\alpha^{-1}(C_1)$ contains the endpoints of A_1 . By induction, we define A_n as a longest even arc essentially disjoint from A_1, \dots, A_{n-1} . We set C_n to be the equivalence class of \sim such that $\alpha^{-1}(C_n)$ contains the endpoints of A_n . Let K_n be the complement to the union $A_1 \cup \dots \cup A_n$, and K the intersection of all K_n . Set $\Gamma_n = \pi \circ \alpha(K_n)$ and $\Gamma = \pi \circ \alpha(K)$. The set

$$\alpha(K_n) \cup \bigcup_{i=1}^n C_i$$

separates $\pi^{-1}(x)$ from $\pi^{-1}(y)$. Indeed, let β be a smooth curve connecting $\pi^{-1}(x)$ to $\pi^{-1}(y)$, avoiding C_1, \dots, C_n , and transverse to $\alpha(S^1)$. There is a component I of K_n such that β intersects $\alpha(I)$ an odd number of times. Indeed, β must intersect $\alpha(S^1)$ in an odd number of points, but it intersects the set $\alpha(A_1 \cup \dots \cup A_n)$ even number of times. It follows that $\beta \cap \alpha(K_n)$ is nonempty. Therefore, Γ_n separates x from y .

Step 3. We now prove that Γ separates x from y . Suppose not. Then x and y lie in the same component of the complement to Γ . Since Γ is compact, this component must be open. By local path connectivity, there is a continuous path $\gamma : [0, 1] \rightarrow X$ such that $\gamma(0) = x$, $\gamma(1) = y$, and $\gamma[0, 1]$ avoids Γ . However, for every n , the set Γ_n separates x from y . It follows that $\gamma(t_n) \in \Gamma_n$ for some $t_n \in [0, 1]$. Let t be any limit point of the sequence t_n . Then $\gamma(t) \in \Gamma$, a contradiction.

Step 4. It remains to prove that Γ is a simple closed curve in X . In other words: if $\pi \circ \alpha(s) = \pi \circ \alpha(t)$ for two different points s and t in K , then s and t are the endpoints of some A_n . In any case, s and t belong to $\alpha^{-1}(C)$ for some equivalence class C of \sim . One of the arcs bounded by s and t must be even — if both arcs were odd, then a simple curve connecting x with y and intersecting $\alpha(S^1)$ transversally would have an even number of intersection points with $\alpha(S^1)$, a contradiction. Let A be the even arc with endpoints s and t . Note that, since s and t belong to K , every A_n is either contained in A or is essentially disjoint from A . Set n to be the smallest positive integer such that $A_n \subseteq A$. If there is no such integer, then A is essentially disjoint from all A_n . On the other hand, the length of A_n must tend to zero. Therefore, there will be some m for which A_m is shorter than A . A contradiction with the choice of A_m , which shows that n is well defined. Now, by

the choice of A_n , we must have $A_n = A$. It follows that s and t are the endpoints of A_n . \square

We can now prove the Basis axiom for X , thus completing the proof of Theorem 1.1. Given a point $x \in X$ and an open set U containing x , we need to find a Jordan domain in X containing x and contained in U . Let D be a small Jordan neighborhood of $\pi^{-1}(x)$ compactly contained in $\pi^{-1}(U)$, and E a smaller Jordan neighborhood of $\pi^{-1}(x)$ such that every equivalence class of \sim intersecting \overline{E} is contained in D (the existence of E follows from the fact that the equivalence relation \sim is closed). The boundary of E is a simple closed curve C such that $\pi(C)$ lies in $\pi(D) \subseteq U$. Choose any point $y \in X - U$. Then C separates $\pi^{-1}(x)$ from $\pi^{-1}(y)$. By Theorem 6.2, there exists a simple closed curve $\Gamma \subseteq \pi(C) \subset U$ that separates x from y . We claim that the Jordan domain V bounded by Γ and containing x is a subset of U . Indeed, the boundary of V is disjoint from the connected set $\pi(S^2 - \overline{D})$ (if $\pi(C)$ intersects $\pi(S^2 - \overline{D})$, then C intersects some equivalence class of \sim not lying in D , a contradiction). Since y is in $\pi(S^2 - \overline{D})$ but not in V , the set V must also be disjoint from $\pi(S^2 - \overline{D}) \supseteq X - U$. This concludes the proof of the Basis axiom.

7. A RELATIVE VERSION OF THEOREM 1.2

In this section, we extend Theorem 1.2 to make it applicable in a wider variety of contexts. Consider a compact connected Hausdorff space X . Let \mathcal{E} be a set of simple curves in X . Suppose that any simple curve that is a subset of a countable union of curves in \mathcal{E} is also an element of \mathcal{E} . In particular, any segment of a simple curve in \mathcal{E} is also a simple curve in \mathcal{E} . Define the set \mathcal{E}° of simple closed curves as follows: a simple closed curve C belongs to \mathcal{E}° if all proper arcs of C are in \mathcal{E} . If the set \mathcal{E} is fixed, we will refer to elements of \mathcal{E} as *elementary curves*, and to elements of \mathcal{E}° as *elementary closed curves*.

The Jordan domain axiom and the Basis axiom have the following relative versions with respect to \mathcal{E} :

- (1) *Relative Jordan domain axiom.* Every elementary closed curve C divides X into two connected components such that the boundary of each component is equal to C . The Jordan domains bounded by C are called *elementary domains*.
- (2) *Relative Basis axiom.* There is a countable basis of topology in X consisting of elementary domains.

Theorem 7.1. *Let a space X and a set \mathcal{E} of simple curves in X be as above. Suppose that, for every connected open set $U \subseteq X$, every pair of points in U can be connected by an elementary curve lying in U . Suppose also, that X satisfies the relative Jordan domain axiom, and the relative Basis axiom with respect to \mathcal{E} . Then X is homeomorphic to the 2-sphere.*

The proof of Theorem 7.1 is the same as that of Theorem 1.2, with simple curves and simple closed curves replaced with elementary curves and elementary closed curves, respectively. The fact that makes everything work is the following. Every time we formed a new simple curve, or a new simple closed curve, we either stayed in a countable union of existing simple curves, or used the path connectivity of a connected open set.

REFERENCES

- [B] R.H. Bing, “The Kline sphere characterization problem”, *Bull. Amer. Math. Soc.* **52** (1946), 644–653
- [J] S. Janiszewski, “Sur les coupures du plan faites par les continus”, *Prace Matematyczno-Fizyczne*, **26** (1913)
- [vK] E. R. van Kampen, “On some characterizations of 2-dimensional manifolds”, *Duke Math. J.* vol. **1** (1935) pp. 74–93
- [K] K. Kuratowski, “Topology”, Academic Pr / PWN; Revised edition, 1966
- [M16] R.L. Moore, “On the foundations of plane analysis situs”, *Transactions of the AMS*, **17** (1916), 131–164
- [M19] R.L. Moore, “Concerning a set of postulates for plane analysis situs”, *Transactions of the AMS*, **20** (1919), 169–178
- [M20] R.L. Moore, “Concerning simple continuous curves”, *Transactions of the AMS*, **21** (1920), 333–347
- [M24] R.L. Moore, “Concerning the prime parts of certain continua which separate the plane”, *Proceedings of the National Academy of Sciences*, **10** (1924), 170–175
- [M25] R.L. Moore, “Concerning upper-semicontinuous collections of continua”, *Transactions of the AMS*, **27**, Vol. 4 (1925), 416–428
- [M25Z] R.L. Moore, “Concerning the prime parts of a continuum”, *Mathematische Zeitschrift*, **22** (1925), 307–315
- [Mu] A. Mullikin, “Certain theorems relating to plane connected point sets”, *Transactions of the AMS*, **24** (1922), 144–162
- [Mun] J. Munkres, “Topology” (Second Ed.) Prentice Hall, 2000
- [N] M.H.A. Newman, “Elements of the Topology of Plane Sets of Points”, Greenwood Press Reprint; 2nd Ed, 1985
- [T] V. Timorin, “Topological regluing of rational functions”, *Invent. Math.* (2009) DOI: 10.1007/s00222-009-0220-8
- [Z] L. Zippin, “A study of continuous curves and their relation to the Janiszewski–Mullikin Theorem”, *Trans. Amer. Math. Soc.* vol. **31** (1929) pp. 744–770