How difficult is it to compute the winner(s) of a game?

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The context: who is the winner?

- A game with *n* players.
- For any pair {*i*, *j*} of distinct players, *i* and *j* compete together.
- We assume that there is no tie: *i* defeats *j* or conversely.
- We would like to rank the players or at least to choose a winner.



# The lack of transitivity

- The results obtained from the n(n 1)/2 possible matches do not always provide a transitive structure: *i* may defeat *j*, while *j* defeats *k* who, in his turn, defeats *i*.
- The result is a (*round-robin*) *tournament*, i.e. an antisymmetric, complete, binary relation, and not necessarily a linear order (= transitive tournament).
- Question: how to rank the players from the obtained tournament or at least how to determine a winner (or several winners)?
- This leads to the so-called *tournament solutions*.



# A link with the context of voting theory

- Similar issues appear in the context of voting theory when a pairwise comparison method (Condorcet, 1785) is applied:
  - $\Rightarrow$  *n* candidates;
  - $\Rightarrow \text{ we compute the number } m_{xy} \text{ of voters who prefer candidate } x \text{ to candidate } y;$
  - $\Rightarrow$  majority rule: x is collectively preferred to y if  $m_{xy} > m_{yx}$ .
- The result is still a tournament (called the *majority tournament*), even if the voters' preferences are linear orders (« voting paradox », « Condorcet effect », « Condorcet cycle »...).



# The associated graph

- We build a *directed graph* T = (X, A) where
  - $\Rightarrow$  X = set of players;
  - $\Rightarrow$  there is an *arc* (= directed edge) from x to y if x defeats y.

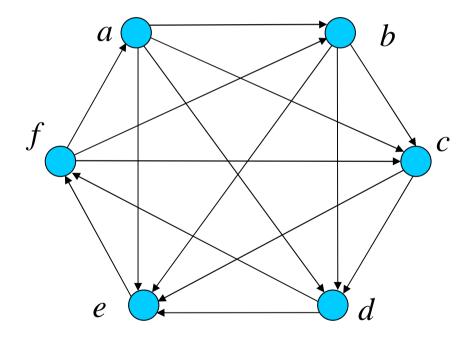
• As there is no tie, *T* is a *tournament* (= complete asymmetric directed graph).

*T* may be transitive (then, it is a linear order), but not necessarily.



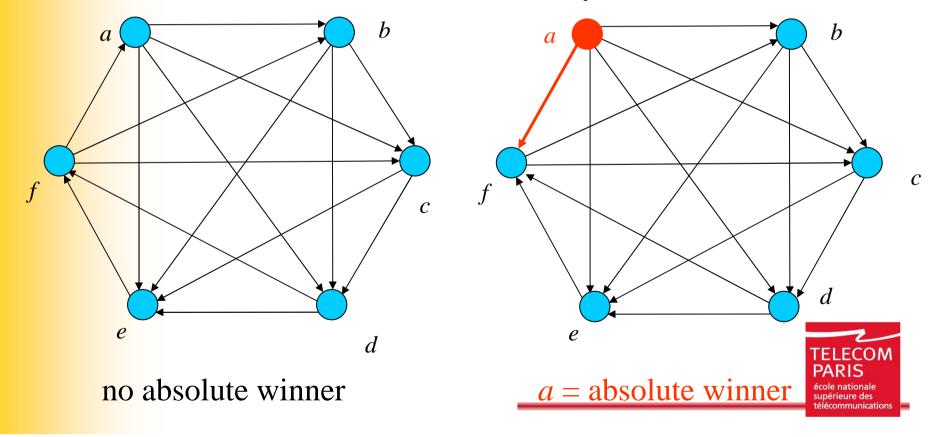
## An example

#### • n = 6 players: a, b, c, d, e, f.





An *absolute winner* (a *Condorcet winner* for an election...) is a player W who defeats any other player; in T, all the arcs leave W; the outdegree of W is equal to n – 1. When there exists an absolute winner, there is only one.



# Tournament solution S

Let T = (X, A) be a tournament on *n* vertices.

• A *tournament solution* is any mapping *S* satisfying:

S:  $T \to S(T) \subseteq X$  with  $S(T) \neq \emptyset$ 

 $S(T) = \{$ *winners* of *T* with respect to *S* $\}$ .

- How to design *S*?
- What is the complexity of *S*?
  \* *S* is *polynomial* if there exists a polynomial algorithm to compute *S*(*T*);

\* *S* is *NP-hard* if the computation of S(T) is NP-hard.



## Main features of the theory of algorithmic complexity

Combinatorial optimization problem (COP): given a finite set *X* and a function *f* defined from *X* to {0, 1, 2, ...}, compute the minimum of *f* over *X*:

#### minimize f(x) with $x \in X$ .

• Decision problem: problem in which we set a question with « yes » or « no » as its answer. Ex:

1. Composite number: given an integer *n*, do there exist two integers p > 1 and q > 1 with n = pq?

2. DP (Decision problem associated with COP): given X, fand an integer K, does there exist  $x \in X$  with  $f(x) \leq K$ ?



#### Links between COP and DP

- Any algorithm solving COP may solve DP: it is sufficient to compute the minimum of *f* and to compare it to *K*.
- Conversely, any algorithm *A* solving DP can be used to solve COP:
  - start with  $K = f(x_0)$  where  $x_0$  denotes any element of X
  - while the answer provided by A is « yes », do:

 $K \leftarrow K - 1$ .

The last value of *K* for which the answer is « yes » provides the minimum of *f*.

## Complexity of an algorithm

- To solve a problem Π, we need an *algorithm*, i.e. a method designed to solve Π. The efficiency of an algorithm A can be measured by its (time) *complexity*.
- The complexity of *A* is given by the number of elementary operations (such as the additions, the comparisons, and so on) performed to solve the considered instance *I*.
- If the complexity of A can be upper-bounded by a polynomial in the size of I (here, n), A is said to be *polynomial* and Π also is said to be *polynomial*.



# Class P, class NP

- **P** = {polynomial decision problems}.
- Many problems are not known to belong to P.
- NP = {decision problems such that we can check the answer « yes », in polynomial time, thanks to some information (*certificate*) guessed and provided by somebody else}.
- Ex. 1. Composite number belongs to NP.
  - 2. DP often belongs to NP: it is sufficient to « guess » an appropriate  $x \in X$  with  $f(x) \leq K$  and to be able to check that x fits in polynomial time.
- $P \subseteq NP$ . Open problem: P = NP or  $P \subset NP$ ?



## NP-complete problems, NP-hard problems

- For two problems  $\Pi_1$  and  $\Pi_2$ , we say (approximation...) that  $\Pi_2$  is at least as difficult as  $\Pi_1$  ( $\Pi_1 \leq \Pi_2$ ) if the polynomiality of  $\Pi_2$  would involve the one of  $\Pi_1$ .
- An *NP-complete problem* Π is a decision problem belonging to NP and which is at least as difficult as any other problem of NP:

#### 1. $\Pi \in NP$ ; 2. $\forall \Pi' \in NP, \Pi' \leq \Pi$ .

- An *NP-hard problem* is a problem at least as difficult as any problem of NP (or, equivalently, as difficult as any NP-complete problem).
- Usually, DP is polynomial if and only if COP is polynomial. When DP is NP-complete, COP is NP-hard.

### CPU time (1000 operations per second)

<i>n</i>	10	20	30	40	50
$\log_{10}(n)$					
n					
$n^2$					
$n^3$					
$n^5$					
$2^n$					
10 <sup>n</sup>					
n !					
$n^n$					

## CPU time (1000 operations per second)

<i>n</i>	10	20	30	40	50
$\log_{10}(n)$	0.001 s	0.0013 s	0.0015 s	0.0016 s	0.0017 s
п	0.01 s	0.02 s	0.03 s	0.04 s	0.05 s
$n^2$	0.1 s	0.4 s	0.9 s	1.6 s	2.5 s
$n^3$	1 s	8 s	27 s	64 s	125 s
$n^5$	1.7 mn	53.3 mn	6.75 h	28.3 h	3.6 days
$2^n$					
10 <sup>n</sup>					
n !					
$n^n$					

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<i>n</i>	10	20	30	40	50
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$n^5$	1.7 mn	53.3 mn	6.75 h	28.3 h	3.6 days
$2^n$	1 s	17.5 mn	12.4 days	34.9 years	357 cent.
10 <sup>n</sup>	116 days	3 x 10 <sup>7</sup> centuries	3 x 10 <sup>17</sup> centuries	3 x 10 <sup>27</sup> centuries	3 x 10 <sup>37</sup> centuries
n !	1 h	7.7 x 10 <sup>5</sup> centuries	8.4 x 10 <sup>19</sup> centuries	2.6 x 10 <sup>35</sup> centuries	9.6 x 10 <sup>51</sup> centuries
$n^n$	116 days	3.3 x 10 <sup>13</sup> centuries	6.5 x 10 <sup>31</sup> centuries	3.8 x 10 <sup>51</sup> centuries	2.8 x 10 <sup>72</sup> centuries

# Copeland solution C (1951)

• A vertex *x* is a *Copeland winner* if the number of players defeated by *x* (called the *Copeland score s*(*x*) of *x*) is maximum.

b

С

Ex.

Scores: s(a) = 4, s(b) = 3, s(c) = 2, s(d) = 2, s(e) = 1, s(f) = 3;the Copeland winner is a (preorder:  $a > b \sim f > c \sim d > e$ ).

#### Zermelo solution Z (1929): maximum likelihood

• Let p(x, y) denote the probability that x defeats y.

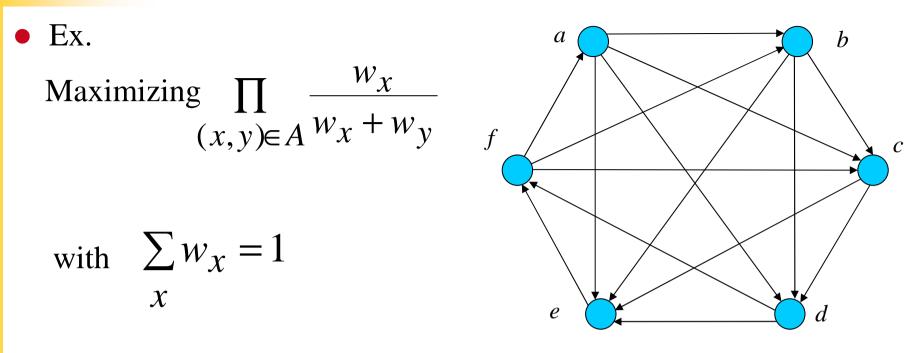
Assume that the results are independent: the fact that x defeats y does not provide information about the result between z and t.

Assume that each player *x* is characterized by a *strength*  $w_x$  such that we have:  $p(x, y) = w_x / (w_x + w_y)$ .

Then the probability to obtain *T* knowing the strengths  $w_x$  is:

$$p(T \mid \{w_x\}) = \prod_{(x,y) \in A} \frac{w_x}{w_x + w_y}$$

Given *T*, Zermelo's maximum likelihood method consists in computing the strengths w<sub>x</sub>\* maximizing p(T / {w<sub>x</sub>}) and then in ranking the players according to the decreasing values of the w<sub>x</sub>\*'s.



gives:  $w_a = 0.27$ ,  $w_b = w_f = 0.18$ ,  $w_c = w_d = 0.14$ ,  $w_e = 0.09$ .

The Zermelo winner is *a* (preorder:  $a > b \sim f > c \sim d > e$ ).

#### • Theorem (L.R. Ford Jr, 1957)

Copeland method and Zermelo method lead to the same winners (more precisely, the same rankings).

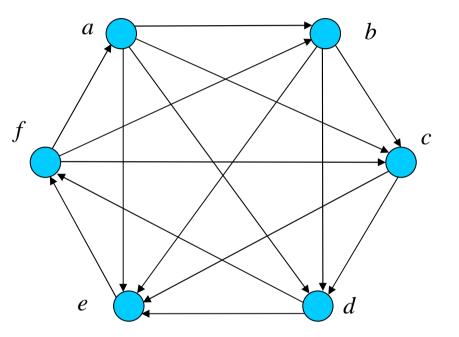
## Uncovered set *UC* (Fishburn, 1977, Miller, 1980)

- A player x is *covered* by a player y in T if y defeats x and if all the players defeated by x are defeated by y: (x, z) ∈ A ⇒ (y, z) ∈ A.
  A player x is *uncovered* if no player covers x.
- The winners of *T* according to *UC* are the uncovered players of *T*.
- We may iterate UC to get  $UC^2$ ,  $UC^3$ , ...  $UC^n = UC^{n+1} = \ldots = UC^{\infty}$ .



## Uncovered set *UC* (Fishburn, 1977, Miller, 1980)

Ex. *b* and *c* are covered by *a*; *e* is covered by *d*; *a*, *d* and *f* are uncovered:
UC(T) = {a, d, f}.



• 
$$UC^{2}(T) = UC^{3}(T) = \dots = \{a, d, f\}.$$



## Markovian solution MS

#### (Levchenkov, 1992, Laslier, 1993)

A *Markov chain* is defined on X from T = (X, A) with the following transition probabilities:

$$p(x \to y) = \begin{cases} 0 \text{ if } (x, y) \in A \\ 1/(n-1) \text{ if } (y, x) \in A \\ s(x)/(n-1) \text{ if } x = y \end{cases}$$

where s(x) denotes the Copeland score (= the outdegree) of *x*. This defines a stochastic matrix  $P = (p(x \rightarrow y))$ .

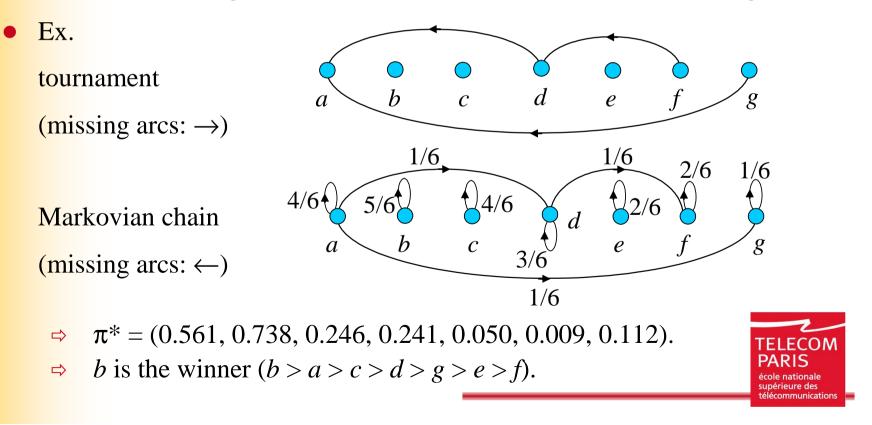
Then we start from any vertex and we randomly go from the current vertex to another one with a probability given by *P*. After *k* steps, the probability distribution π<sub>k</sub> = (π<sub>x,k</sub>)<sub>x∈X</sub> is given by

$$\pi_k = \pi_{k-1}.P = \pi_0.P^k,$$

where  $\pi_0$  denotes the initial probability distribution.



- The Markovian theory shows that  $\pi_k$  admits a limit  $\pi^* = (\pi_x^*)_{x \in X}$  when k tends to infinity with  $\pi^* = \pi^* P$ .
  - $\Rightarrow \pi_x^*$  can be interpreted as the strength of *x*.
  - ⇒ The Markovian solution consists in sorting the candidates according to their strengths: the winners are the candidates *x* maximizing  $\pi_x^*$ .

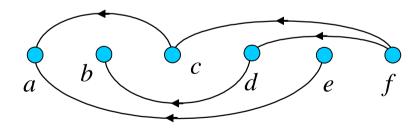


#### Minimal covering set MC (Dutta, 1988)

- Let  $Y \subset X$ . For  $y \in Y$  and  $x \in X Y$ , we say that y covers x in  $Y \cup \{x\}$  if y covers x in  $T_{|Y \cup \{x\}}$ .
- Y is a *covering set of T* if, for any x ∈ X Y, x is covered in Y ∪ {x}.
   Ex: UC and UC<sup>∞</sup> are covering sets.
- The *minimal covering set* MC(T) of T is the smallest (w.r.t. inclusion) covering set of  $T: \forall x \in X - MC(T)$ , x is covered in  $MC(T) \cup \{x\}$ and  $\forall Y \subset MC(T)$ ,  $\exists x \in X - Y$  which is not covered in  $Y \cup \{x\}$ .

• Ex.

(missing arcs:  $\rightarrow$ )



 $MC(T) = \{a, b, c\}$  $UC = UC^{\infty} = \{a, b, c, d, e, f\}.$ 



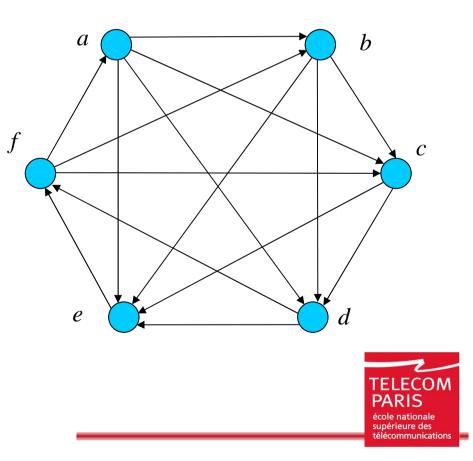
# Bank's solution *B*: maximal transitive subtournament (Banks, 1985)

B(T) = {x ∈ X such that there exists a maximal (w.r.t. inclusion) transitive subtournament, i.e. a linear order, of T with x as its absolute winner}.

• Ex.

 $B(T) = \{a, d, f\}$ 

*a* because of a > b > c > d*d* because of d > e > f*f* because of f > a > b > c.



# Slater's solution *Sl*: linear orders at minimum distance (Slater, 1961)

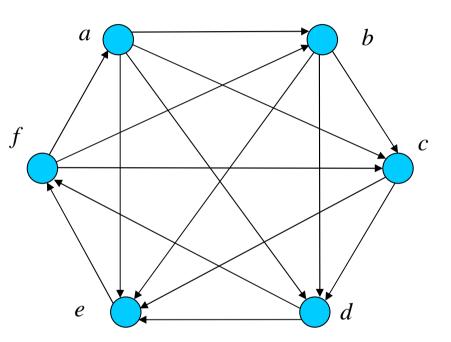
- For any linear order *O*, let *d*(*O*, *T*) be the symmetric difference distance between *O* and *T*: *d*(*O*, *T*) = |{(*x*, *y*) ∈ *X*<sup>2</sup> with (*x*, *y*) ∈ *O* and (*y*, *x*) ∈ *T*}|.
  Rk: *d*(*O*, *T*) measures the number of disagreements between *O* and *T*.
- A *Slater order of T* is a linear order  $O^*$  minimizing *d*. This minimum distance is called the *Slater index i*(*T*) *of T*.
- A *Slater winner of T* is the absolute winner of any Slater order of *T*.



# Slater's solution *Sl*: linear orders at minimum distance (Slater, 1961)

• Ex.

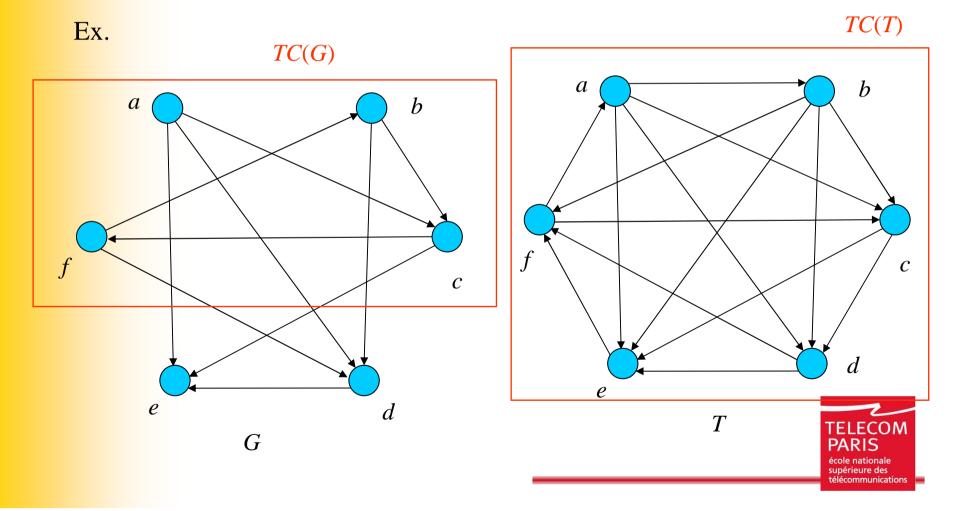
1 Slater order: f > a > b > d > c > e, i(T) = 2,  $Sl(T) = \{f\}$ .





# Top cycle *TC*

• Let G = (X, A) be a directed graph. The *top cycle* TC(G) of G is the union of the strongly connected components of G with no incoming arcs.



# Tournament equilibrium set *TEQ* (Schwartz, 1990)

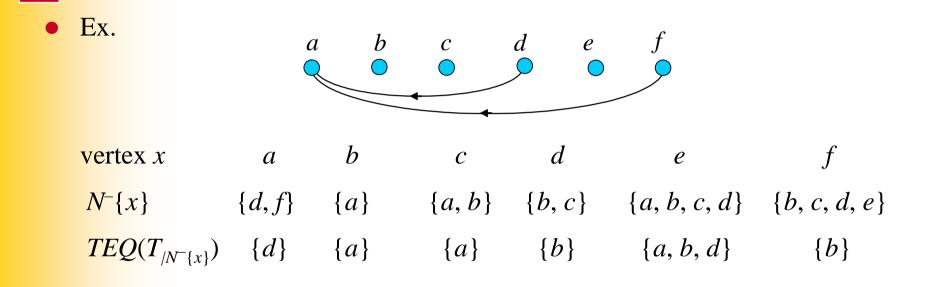
• For any tournament solution *S* and any tournament T = (X, A), define the (asymmetric) *contestation relation* D(S, T) by:

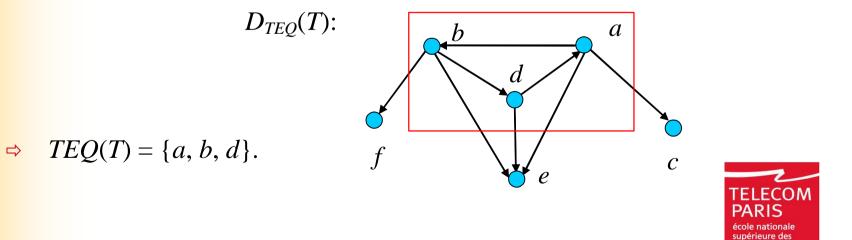
 $x\,D(S,\,T)\,y \Leftrightarrow x \in \,S(T_{/N^-\{y\}}),$ 

where  $N^{-}\{y\}$  denotes the set of predecessors (in-neighbours) of *y*.

- We define a *contestation graph*  $D_S(T)$ :  $D_S(T) = (X, D(S, T))$ and a new tournament solution  $S^*$  by:  $S^*(T) = TC(D_S(T))$ .
- *TEQ* is the only tournament solution *S* with  $S^* = S$ :  $TEQ(T) = TC(D_{TEO}(T)).$







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# Complexity results

#### • Polynomial methods:

- $\Rightarrow$  Copeland method and Zermelo method, in O( $n^2$ ).
- Uncovered set *UC*, in  $O(n^2)$  for checking that a player is a winner, in  $O(n^{2.38})$  to compute all the winners (multiplication of two  $n \times n$  matrices).
- $\Rightarrow \forall k \ge 1, UC^k$  (the complexity depends on k).
- $\Rightarrow$  Markovian solution *MS*, in O( $n^{2.38}$ ) (resolution of a linear system).
- Minimum covering set *MC* (as a linear programming problem;
   F. Brandt and F. Fischer, 2008).
- ⇒ the computation of a Banks winner, in  $O(n^2)$  (O. H., 2004).



# Complexity results

#### • NP-hard methods:

- $\Rightarrow$  checking that a given vertex is a Banks winner (G. Woeginger, 2003)
- $\Rightarrow$  the computation of all the Banks winners
- Slater method *Sl* (N. Alon, 2006; P. Charbit, S. Thomassé, A. Yeo, 2007; V. Conitzer, 2006; O. H., 2010)
- $\Rightarrow$  *TEQ* (F. Brandt, F. Fischer, P. Harrenstein, M. Mair, 2010)



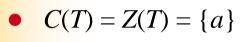
# Links between these tournament solutions

	UC	TC(UC)	$UC^{\infty}$	<i>C</i> , <i>Z</i>	Sl	В	МС
UC							
TC(UC)	C						
$UC^{\infty}$	U	C					
C, Z	U	Ø 13	Ø <sup>9</sup>				
Sl	U	C	Ø 8	$\emptyset$ 6			
В	U	C	∩≠Ø	Ø 13	$\emptyset$ <sup>14</sup>		
МС	U	C	C	Ø <sup>9</sup>	Ø 8	∩≠Ø	
TEQ	C	C	C	Ø <sup>9</sup>	Ø 8		C

 $S \subset S': \forall T, S(T) \subseteq S'(T) \text{ and } \exists T \text{ s.t. } S(T) \neq S'(T)$  $S \oslash {}^{k}S': \forall n \ge k, \exists T \text{ with } n \text{ vertices s.t. } S(T) \cap S'(T) = \emptyset$  $S \cap S' \neq \emptyset: \forall T, S(T) \cap S'(T) \neq \emptyset$ 



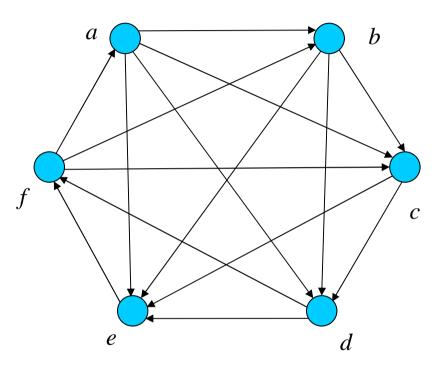
# An illustration



- $UC(T) = \{a, d, f\}$
- $UC^{\infty}(T) = \{a, d, f\}$
- $MC(T) = \{a, d, f\}$
- $MS(T) = \{a\}$ (1 order : a > f > d > b > c > e)
- $B(T) = \{a, d, f\}$
- $Sl(T) = \{f\}$

(1 Slater order : f > a > b > d > c > e)

• 
$$TEQ(T) = \{a, d, f\}$$





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#### Thank you for your attention!

