# How difficult is it to compute the winner(s) of a game? 

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## The context: who is the winner?

- A game with $n$ players.
- For any pair $\{i, j\}$ of distinct players, $i$ and $j$ compete together.
- We assume that there is no tie: $i$ defeats $j$ or conversely.
- We would like to rank the players or at least to choose a winner.


## The lack of transitivity

- The results obtained from the $n(n-1) / 2$ possible matches do not always provide a transitive structure: $i$ may defeat $j$, while $j$ defeats $k$ who, in his turn, defeats $i$.
- The result is a (round-robin) tournament, i.e. an antisymmetric, complete, binary relation, and not necessarily a linear order (= transitive tournament).
- Question: how to rank the players from the obtained tournament or at least how to determine a winner (or several winners)?
- This leads to the so-called tournament solutions.


## A link with the context of voting theory

- Similar issues appear in the context of voting theory when a pairwise comparison method (Condorcet, 1785) is applied:
$\Rightarrow n$ candidates;
$\Rightarrow$ we compute the number $m_{x y}$ of voters who prefer candidate $x$ to candidate $y$;
$\Rightarrow$ majority rule: $x$ is collectively preferred to $y$ if $m_{x y}>m_{y x}$.
- The result is still a tournament (called the majority tournament), even if the voters' preferences are linear orders (《 voting paradox », « Condorcet effect », «Condorcet cycle »...).


## The associated graph

- We build a directed graph $T=(X, A)$ where
$\Rightarrow X=$ set of players;
$\Rightarrow$ there is an $\operatorname{arc}$ (= directed edge) from $x$ to $y$ if $x$ defeats $y$.
- As there is no tie, $T$ is a tournament (= complete asymmetric directed graph).
$T$ may be transitive (then, it is a linear order), but not necessarily.


## An example

- $n=6$ players: $a, b, c, d, e, f$.

- An absolute winner (a Condorcet winner for an election...) is a player $W$ who defeats any other player; in $T$, all the arcs leave $W$; the outdegree of $W$ is equal to $n-1$. When there exists an absolute winner, there is only one.

no absolute winner

c


## Tournament solution $S$

Let $T=(X, A)$ be a tournament on $n$ vertices.

- A tournament solution is any mapping $S$ satisfying:

$$
\begin{aligned}
& S: T \rightarrow S(T) \subseteq X \text { with } S(T) \neq \varnothing \\
& S(T)=\{\text { winners of } T \text { with respect to } S\} .
\end{aligned}
$$

- How to design $S$ ?
- What is the complexity of $S$ ?
* $S$ is polynomial if there exists a polynomial algorithm to compute $S(T)$;
* $S$ is NP-hard if the computation of $S(T)$ is NP-hard.


## Main features of the theory of algorithmic complexity

- Combinatorial optimization problem (COP): given a finite set $X$ and a function $f$ defined from $X$ to $\{0,1,2, \ldots\}$, compute the minimum of $f$ over $X$ :

$$
\text { minimize } f(x) \text { with } x \in X \text {. }
$$

- Decision problem: problem in which we set a question with « yes» or «no » as its answer. Ex:

1. Composite number: given an integer $n$, do there exist two integers $p>1$ and $q>1$ with $n=p q$ ?
2. DP (Decision problem associated with COP): given $X, f$ and an integer $K$, does there exist $x \in X$ with $f(x) \leq K$ ?

## Links between COP and DP

Any algorithm solving COP may solve DP: it is sufficient to compute the minimum of $f$ and to compare it to $K$.

- Conversely, any algorithm $A$ solving DP can be used to solve COP:
- start with $K=f\left(x_{0}\right)$ where $x_{0}$ denotes any element of $X$
- while the answer provided by $A$ is «yes », do:

$$
K \leftarrow K-1 .
$$

The last value of $K$ for which the answer is « yes » provides the minimum of $f$.

## Complexity of an algorithm

- To solve a problem $\Pi$, we need an algorithm, i.e. a method designed to solve $\Pi$. The efficiency of an algorithm $A$ can be measured by its (time) complexity.
- The complexity of $A$ is given by the number of elementary operations (such as the additions, the comparisons, and so on) performed to solve the considered instance $I$.
- If the complexity of $A$ can be upper-bounded by a polynomial in the size of $I$ (here, $n$ ), $A$ is said to be polynomial and $\Pi$ also is said to be polynomial.


## Class P, class NP

- $\quad \mathrm{P}=\{$ polynomial decision problems $\}$.
- Many problems are not known to belong to P.
- $\quad \mathrm{NP}=\{$ decision problems such that we can check the answer < yes », in polynomial time, thanks to some information (certificate) guessed and provided by somebody else\}.
- Ex. 1. Composite number belongs to NP.

2. DP often belongs to NP: it is sufficient to < guess » an appropriate $x \in X$ with $f(x) \leq K$ and to be able to check that $x$ fits in polynomial time.

- $\mathrm{P} \subseteq \mathrm{NP}$. Open problem: $\mathrm{P}=\mathrm{NP}$ or $\mathrm{P} \subset \mathrm{NP}$ ?


## NP-complete problems, NP-hard problems

- For two problems $\Pi_{1}$ and $\Pi_{2}$, we say (approximation...) that $\Pi_{2}$ is at least as difficult as $\Pi_{1}\left(\Pi_{1} \leq \Pi_{2}\right)$ if the polynomiality of $\Pi_{2}$ would involve the one of $\Pi_{1}$.
- An NP-complete problem $\Pi$ is a decision problem belonging to NP and which is at least as difficult as any other problem of NP:

$$
\text { 1. } \Pi \in \mathrm{NP} ; \quad \text { 2. } \forall \Pi^{\prime} \in \mathrm{NP}, \Pi^{\prime} \leq \Pi \text {. }
$$

- An NP-hard problem is a problem at least as difficult as any problem of NP (or, equivalently, as difficult as any NPcomplete problem).
- Usually, DP is polynomial if and only if COP is polynomial. When DP is NP-complete, COP is NP-hard.

CPU time (1000 operations per second)

| $n$ | 10 | 20 | 30 | 40 | 50 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\log _{10}(n)$ |  |  |  |  |  |
| $n$ |  |  |  |  |  |
| $n^{2}$ |  |  |  |  |  |
| $n^{3}$ |  |  |  |  |  |
| $n^{5}$ |  |  |  |  |  |
| $2^{n}$ |  |  |  |  |  |
| $10^{n}$ |  |  |  |  |  |
| $n!$ |  |  |  |  |  |
| $n^{n}$ |  |  |  |  |  |

CPU time (1000 operations per second)

| $n$ | 10 | 20 | 30 | 40 | 50 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\log _{10}(n)$ | 0.001 s | 0.0013 s | 0.0015 s | 0.0016 s | 0.0017 s |
| $n$ | 0.01 s | 0.02 s | 0.03 s | 0.04 s | 0.05 s |
| $n^{2}$ | 0.1 s | 0.4 s | 0.9 s | 1.6 s | 2.5 s |
| $n^{3}$ | 1 s | 8 s | 27 s | 64 s | 125 s |
| $n^{5}$ | 1.7 mn | 53.3 mn | 6.75 h | 28.3 h | 3.6 days |
| $2^{n}$ |  |  |  |  |  |
| $10^{n}$ |  |  |  |  |  |
| $n!$ |  |  |  |  |  |
| $n$ |  |  |  |  |  |
| $n^{n}$ |  |  |  |  |  |
|  |  |  |  |  |  |

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| $n^{3}$ | 1 s | 8 s | 27 s | 64 s | 125 s |
| $n^{5}$ | 1.7 mn | 53.3 mn | 6.75 h | 28.3 h | 3.6 days |
| $2^{n}$ | 1 s | 17.5 mn | 12.4 days | 34.9 years | 357 cent. |
| $10^{n}$ | 116 days | $3 \times 10^{7}$ <br> centuries | $3 \times 10^{17}$ <br> centuries | $3 \times 10^{27}$ <br> centuries | $3 \times 10^{37}$ <br> centuries |
| $n!$ | 1 h | $7.7 \times 10^{5}$ <br> centuries | $8.4 \times 10^{19}$ <br> centuries | $2.6 \times 10^{35}$ <br> centuries | $9.6 \times 10^{51}$ centuries |
| $n^{n}$ | 116 days | $3.3 \times 10^{13}$ <br> centuries | $6.5 \times 10^{31}$ <br> centuries | $3.8 \times 10^{51}$ <br> centuries | $2.8 \times 10^{72}$ <br> centuries |

## Copeland solution C (1951)

- A vertex $x$ is a Copeland winner if the number of players defeated by $x$ (called the Copeland score $s(x)$ of $x$ ) is maximum.

Ex.
Scores:
$s(a)=4, s(b)=3$,
$s(c)=2, s(d)=2$,
$s(e)=1, s(f)=3 ;$
the Copeland winner is $a$ (preorder: $a>b \sim f>c \sim d>e$ ).


## Zermelo solution Z (1929): maximum likelihood

- Let $p(x, y)$ denote the probability that $x$ defeats $y$.

Assume that the results are independent: the fact that $x$ defeats $y$ does not provide information about the result between $z$ and $t$.

Assume that each player $x$ is characterized by a strength $w_{x}$ such that we have: $p(x, y)=w_{x} /\left(w_{x}+w_{y}\right)$.

Then the probability to obtain $T$ knowing the strengths $w_{x}$ is:

$$
p\left(T /\left\{w_{x}\right\}\right)=\prod_{(x, y) \in A} \frac{w_{x}}{w_{x}+w_{y}}
$$

- Given $T$, Zermelo's maximum likelihood method consists in computing the strengths $w_{x}{ }^{*}$ maximizing $p\left(T /\left\{w_{x}\right\}\right)$ and then in ranking the players according to the decreasing values of the $w_{x}{ }^{* \prime} s$.
- Ex.

Maximizing $\Pi$

with $\sum_{x} w_{x}=1$
gives: $w_{a}=0.27, w_{b}=w_{f}=0.18, w_{c}=w_{d}=0.14, w_{e}=0.09$.
The Zermelo winner is $a$ (preorder: $a>b \sim f>c \sim d>e$ ).

- Theorem (L.R. Ford Jr, 1957)

Copeland method and Zermelo method lead to the same winners (more precisely, the same rankings).

## Uncovered set $U C$ (Fishburn, 1977, Miller, 1980)

- A player $x$ is covered by a player $y$ in $T$ if $y$ defeats $x$ and if all the players defeated by $x$ are defeated by $y:(x, z) \in A \Rightarrow(y, z) \in A$.

A player $x$ is uncovered if no player covers $x$.

- The winners of $T$ according to $U C$ are the uncovered players of $T$.
- We may iterate $U C$ to get $U C^{2}, U C^{3}, \ldots U C^{n}=U C^{n+1}=\ldots=U C^{\infty}$.



## Uncovered set $U C$

## (Fishburn, 1977, Miller, 1980)

- Ex.
$b$ and $c$ are covered by $a ;$ $e$ is covered by $d$;
$a, d$ and $f$ are uncovered:

$$
U C(T)=\{a, d, f\}
$$



- $U C^{2}(T)=U C^{3}(T)=\ldots=\{a, d, f\}$.


## Markovian solution MS <br> (Levchenkov, 1992, Laslier, 1993)

A Markov chain is defined on $X$ from $T=(X, A)$ with the following transition probabilities:

$$
p(x \rightarrow y)=\left\{\begin{array}{l}
0 \text { if }(x, y) \in A \\
1 /(n-1) \text { if }(y, x) \in A \\
s(x) /(n-1) \text { if } x=y
\end{array}\right.
$$

where $s(x)$ denotes the Copeland score (= the outdegree) of $x$. This defines a stochastic matrix $P=(p(x \rightarrow y))$.

- Then we start from any vertex and we randomly go from the current vertex to another one with a probability given by $P$. After $k$ steps, the probability distribution $\pi_{k}=\left(\pi_{x, k}\right)_{x \in X}$ is given by

$$
\pi_{k}=\pi_{k-1} . P=\pi_{0 .} \cdot P^{k},
$$

where $\pi_{0}$ denotes the initial probability distribution.

- The Markovian theory shows that $\pi_{k}$ admits a limit $\pi^{*}=\left(\pi_{x}\right)_{x \in X}$ when $k$ tends to infinity with $\pi^{*}=\pi^{*} P$.
$\Rightarrow \quad \pi_{x}{ }^{*}$ can be interpreted as the strength of $x$.
$\Rightarrow$ The Markovian solution consists in sorting the candidates according to their strengths: the winners are the candidates $x$ maximizing $\pi_{x}{ }^{*}$.
- Ex.
tournament
(missing arcs: $\rightarrow$ )


Markovian chain
(missing arcs: $\leftarrow$ )

$\Rightarrow \quad \pi^{*}=(0.561,0.738,0.246,0.241,0.050,0.009,0.112)$.
$\Rightarrow b$ is the winner $(b>a>c>d>g>e>f)$.

## Minimal covering set MC (Dutta, 1988)

- Let $Y \subset X$. For $y \in Y$ and $x \in X-Y$, we say that $y$ covers $x$ in $Y \cup\{x\}$ if $y$ covers $x$ in $T_{\mid Y \cup\{x\}}$.
- $Y$ is a covering set of $T$ if, for any $x \in X-Y, x$ is covered in $Y \cup\{x\}$. Ex: $U C$ and $U C^{\infty}$ are covering sets.
- The minimal covering set $M C(T)$ of $T$ is the smallest (w.r.t. inclusion) covering set of $T: \forall x \in X-M C(T), x$ is covered in $M C(T) \cup\{x\}$ and $\forall Y \subset M C(T), \exists x \in X-Y$ which is not covered in $Y \cup\{x\}$.
- Ex.
(missing arcs: $\rightarrow$ )

$M C(T)=\{a, b, c\}$
$U C=U C^{\infty}=\{a, b, c, d, e, f\}$.


## Bank's solution $B$ : maximal transitive subtournament (Banks, 1985)

- $B(T)=\{x \in X$ such that there exists a maximal (w.r.t. inclusion) transitive subtournament, i.e. a linear order, of $T$ with $x$ as its absolute winner $\}$.
- Ex.
$B(T)=\{a, d, f\}$
$a$ because of $a>b>c>d$
$d$ because of $d>e>f$ $f$ because of $f>a>b>c$.



## Slater's solution $S l$ : linear orders at minimum distance (Slater, 1961)

- For any linear order $O$, let $d(O, T)$ be the symmetric difference distance between $O$ and $T: d(O, T)=\mid\left\{(x, y) \in X^{2}\right.$ with $(x, y) \in O$ and $\left.(y, x) \in T\right\} \mid$. Rk: $d(O, T)$ measures the number of disagreements between $O$ and $T$.
- A Slater order of $T$ is a linear order $O^{*}$ minimizing $d$. This minimum distance is called the Slater index $i(T)$ of $T$.
- A Slater winner of $T$ is the absolute winner of any Slater order of $T$.


## Slater's solution Sl: linear orders at minimum distance (Slater, 1961)

- Ex.

1 Slater order:
$f>a>b>d>c>e$,
$i(T)=2$,
$S l(T)=\{f\}$.


## Top cycle $T C$

- Let $G=(X, A)$ be a directed graph. The top cycle $T C(G)$ of $G$ is the union of the strongly connected components of $G$ with no incoming arcs.

Ex.
$T C(G)$

$T C(T)$


## Tournament equilibrium set TEQ (Schwartz, 1990)

- For any tournament solution $S$ and any tournament $T=(X, A)$, define the (asymmetric) contestation relation $D(S, T)$ by:

$$
x D(S, T) y \Leftrightarrow x \in S\left(T_{\mid N^{\sim}\{y\}}\right),
$$

where $N^{-}\{y\}$ denotes the set of predecessors (in-neighbours) of $y$.

- We define a contestation $\operatorname{graph} D_{S}(T): \quad D_{S}(T)=(X, D(S, T))$ and a new tournament solution $S^{*}$ by: $S^{*}(T)=T C\left(D_{S}(T)\right)$.
- TEQ is the only tournament solution $S$ with $S^{*}=S$ :

$$
T E Q(T)=T C\left(D_{T E Q}(T)\right)
$$

- Ex.


| vertex $x$ | $a$ | $b$ | $c$ | $d$ | $e$ | $f$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| $N^{-}\{x\}$ | $\{d, f\}$ | $\{a\}$ | $\{a, b\}$ | $\{b, c\}$ | $\{a, b, c, d\}$ | $\{b, c, d, e\}$ |
| $\operatorname{TEQ}\left(T_{\mid N\{x\}}\right)$ | $\{d\}$ | $\{a\}$ | $\{a\}$ | $\{b\}$ | $\{a, b, d\}$ | $\{b\}$ |



## Complexity results

- Polynomial methods:
$\Rightarrow$ Copeland method and Zermelo method, in $\mathrm{O}\left(n^{2}\right)$.
$\Rightarrow$ Uncovered set $U C$, in $\mathrm{O}\left(n^{2}\right)$ for checking that a player is a winner, in $\mathrm{O}\left(n^{2.38}\right)$ to compute all the winners (multiplication of two $n \times n$ matrices).
$\Rightarrow \quad \forall k \geq 1, U C^{k}$ (the complexity depends on $k$ ).
$\Rightarrow$ Markovian solution $M S$, in $\mathrm{O}\left(n^{2.38}\right)$ (resolution of a linear system).
$\Rightarrow$ Minimum covering set $M C$ (as a linear programming problem; F. Brandt and F. Fischer, 2008).
$\Rightarrow$ the computation of a Banks winner, in $\mathrm{O}\left(n^{2}\right)$ (O. H., 2004).


## Complexity results

- NP-hard methods:
$\Rightarrow$ checking that a given vertex is a Banks winner (G. Woeginger, 2003)
$\Rightarrow$ the computation of all the Banks winners
$\Rightarrow$ Slater method Sl (N. Alon, 2006; P. Charbit, S. Thomassé, A. Yeo, 2007; V. Conitzer, 2006; O. H., 2010)
$\Rightarrow \quad T E Q$ (F. Brandt, F. Fischer, P. Harrenstein, M. Mair, 2010)


## Links between these tournament solutions

|  | $U C$ | $T C(U C)$ | $U C^{\infty}$ | $C, Z$ | $S l$ | $B$ | $M C$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $U C$ |  |  |  |  |  |  |  |
| $T C(U C)$ | $\subset$ |  |  |  |  |  |  |
| $U C^{\infty}$ | $\subset$ | $\subset$ |  |  |  |  |  |
| $C, Z$ | $\subset$ | $\varnothing^{13}$ | $\varnothing^{9}$ |  |  |  |  |
| $S l$ | $\subset$ | $\subset$ | $\varnothing^{8}$ | $\varnothing^{6}$ |  |  |  |
| $B$ | $\subset$ | $\subset$ | $\cap \neq \varnothing$ | $\varnothing^{13}$ | $\varnothing^{14}$ |  |  |
| $M C$ | $\subset$ | $\subset$ | $\subset$ | $\varnothing^{9}$ | $\varnothing^{8}$ | $\cap \neq \varnothing$ |  |
| $T E Q$ | $\subset$ | $\subset$ | $\subset$ | $\varnothing^{9}$ | $\varnothing^{8}$ | $\subset$ | $\subset$ |

$S \subset S^{\prime}: \forall T, S(T) \subseteq S^{\prime}(T)$ and $\exists T$ s.t. $S(T) \neq S^{\prime}(T)$
$S \varnothing^{k} S^{\prime}: \forall n \geq k, \exists T$ with $n$ vertices s.t. $S(T) \cap S^{\prime}(T)=\varnothing$
$S \cap S^{\prime} \neq \varnothing: \forall T, S(T) \cap S^{\prime}(T) \neq \varnothing$

## An illustration

- $C(T)=Z(T)=\{a\}$
- $U C(T)=\{a, d, f\}$
- $U C^{\infty}(T)=\{a, d, f\}$
- $M C(T)=\{a, d, f\}$
- $M S(T)=\{a\}$
( 1 order : $a>f>d>b>c>e$ )
- $B(T)=\{a, d, f\}$
- $S l(T)=\{f\}$

(1 Slater order : $f>a>b>d>c>e$ )
- $\operatorname{TEQ}(T)=\{a, d, f\}$


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