

Set-alternating schemes: A new class of large Condorcet domains

Alexander Karpov^{1,2} Klas Markström³ Søren Riis⁴
Bei Zhou⁴

¹HSE University, Moscow, Russia

²Institute of Control Sciences, Russian Academy of Sciences,
Moscow, Russia

³Umeå University

⁴Queen Mary University of London

December 5, 2023

Condorcet domains

What is the largest size of a set of linear preference orders for n alternatives such that majority voting is transitive when each voter chooses his preferences from this set?

The latest lower bound on the size of maximum Condorcet domains 2.1890^n was investigated by (Karpov, Slinko, 2023).

We present a new method of constructing large Condorcet domains that leads to a new lower bound.

Condorcet domains

Let a finite set $X = [n] = \{1, \dots, n\}$ be the set of alternatives. Let $L(X)$ be the set of all linear orders over X . Each agent $i \in N$ has a preference order P_i over X (each preference order is a linear order). Let $L(X)$ be the set of all linear orders over X . For brevity, we will write preference order as a string, e.g. $12 \dots n$ means 1 is the best alternative, n is the worst.

A subset of preference orders $D \subseteq L(X)$ is called a *domain* of preference orders. A domain D is a *Condorcet domain* if whenever the preferences of all agents belong to the domain, the majority relation of any preference profile with an odd number of agents is transitive.

Peak-pit domains

Each Condorcet domain restriction of the domain to each triple of alternatives satisfies a never condition iNj , $i, j \in [3]$. iNj means that i^{th} alternative from the triple according to ascending order does not fill in j^{th} place within this triple in each order from the domain.

A domain D is a *peak-pit* domain if, for each triple of alternatives, the restriction of the domain to this triple is either single-peaked ($iN3$), or single-dipped ($iN1$).

Peak-pit domains

For example restriction abc to triple $a, b, c \in [n]$, $a < b < c$ satisfies never conditions $1N2, 1N3, 2N1, 2N3, 3N1, 3N2$, but violates never conditions $1N1, 2N2, 3N3$.

$1N1$: bac, bca, cab, cba (single-dipped domain);

$1N2$: abc, acb, bca, cba (group-separable domain);

$1N3$: abc, acb, bac, cab (single-peaked domain).

Maximal width domains

Danilov, Karzanov, Koshevoy (2012) investigated Condorcet domains of tiling type.

Danilov, Karzanov, Koshevoy (2013) investigated symmetric Condorcet domains.

Both types of domains contain orders $12 \dots n$, and $n, \dots 21$. This property is called *maximal width*.

We do not consider domains with maximal width.

Generalized Fishburn alternating scheme

Definition 1

Starting with a subset $A \subseteq [n]$ we consider the following never conditions on triples $L(X)$: For $i < j < k$ with $j \in A$ assign the never condition $2N3$. For $i < j < k$ with $j \notin A$ we assign the never condition $2N1$. This is the *generalized Fishburn domain* generated by A .

Fishburn domain

If A consist of even number, then we obtain Fishburn's domain. Having the natural ordering of alternatives we obtain the following maximal Fishburn's domains:

$$F_3 = \{123, 213, 231, 321\},$$

$$F_4 = \{1234, 1243, 2134, 2143, 2413, 2431, 4213, 4231, 4321\},$$

The reversed domains are also Fishburn's domains. Galambos, Reiner (2008) gave the exact formula for the cardinality of F_m :

$$|F_m| = (m + 3)2^{m-3} - \begin{cases} (m - \frac{3}{2})\binom{m-2}{\frac{m}{2}-1} & \text{for even } m; \\ (\frac{m-1}{2})\binom{m-1}{\frac{m-1}{2}} & \text{for odd } m. \end{cases}$$

Set-alternating scheme

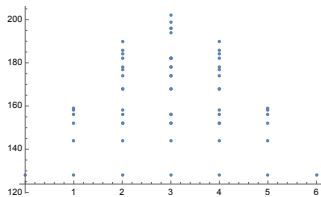
Definition 2

Starting with a subset $A \subseteq [n]$ we consider the following never conditions on triples $L(X)$: For $i < j < k$ with $j \in A$ assign the never condition 1N3. For $i < j < k$ with $j \notin A$ we assign the never condition 3N1. This is the *set-alternating scheme* generated by A .

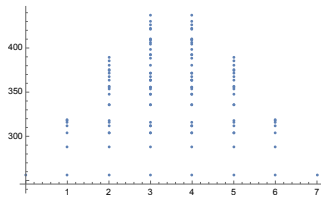
We let $D_X(A)$ denote the Condorcet domain which is generated by this scheme and let $f_n(A)$ denote the cardinality of $D_X(A)$.

If $A = [2, \dots, n - 1]$, then all triples are assigned the 1N3 never condition. This gives an Arrow's single-peaked domain, but it is not Black's single-peaked since the domain does not contain two mutually reversed orders.

Set-alternating scheme



(a) $n = 8$



(b) $n = 9$

Figure: Domain size and set size for all subsets of $\{2, \dots, n - 1\}$

Properties of set-alternating schemes

Proposition 1

Each domain defined by set-alternating scheme and generalized Fishburn alternating scheme is a copious peak-pit maximal Condorcet domain.

Proposition 2

Each domain defined by set-alternating scheme and generalized Fishburn alternating scheme is connected.

Recursive properties of set-alternating schemes

We let $f_n(A)$ denote the cardinality of $D_{[n]}(A)$, w - the maximal element in A , $A' = A \setminus \{w\}$

Proposition 3

If $w = n - 1$, then $f_n(A) = 2f_{n-1}(A')$.

Proposition 4

If $1 < w < n - 1$, then $f_n(A) = 2f_{n-1}(A) + f_{n-1}(A') - S$, where $S = \sum_{j=w-1}^{n-2} f_j(A')$.

If $n - 1$ is a member of A then $A_1 = A \setminus n - 1$ generates a domain which is at least as large as that for A .

If 2 is not a member of A then $A_2 = A \cup \{2\}$ generates a domain which is at least as large as that for A .

Some combinatorial results

Proposition 5

For $k = 1$, we have $f_n(\{n - k\}) = 2^{n-1}$ and $f_n(\{k\}) = 2^{n-1}$. For $k > 1$ and $n \leq k + 1$, we have $f_n(\{n - k\}) = 2^{n-1}$ and $f_n(\{k\}) = 2^{n-1}$. For $k > 1$ and $n > k + 1$, we have $f_n(\{n - k\}) = 5 \cdot 2^{n-3} - 2^{n-k-2}$ and $f_n(\{k\}) = 5 \cdot 2^{n-3} - 2^{k-2}$.

Proposition 6

The size of the domain on n alternatives which for each triple satisfies never conditions 1N3 and 3N1, is the $(n + 1)^{\text{th}}$ Fibonacci number.

New schemes

Definition 3

$D_X(A_n)$ is the result of the *even 1N33N1-alternating scheme* if

$$A_n = \{2, 4, 6, \dots, n - 2 + p_n\},$$

where $p_n = (n \bmod 2)$.

Definition 4

$D_X(B_n)$ is the domain given by the *odd 1N33N1-alternating scheme* if

$$B_n = \{2, 3, 5, \dots, n - 3 + p_n\},$$

where $p_n = (n \bmod 2)$.

$n = 6$ example

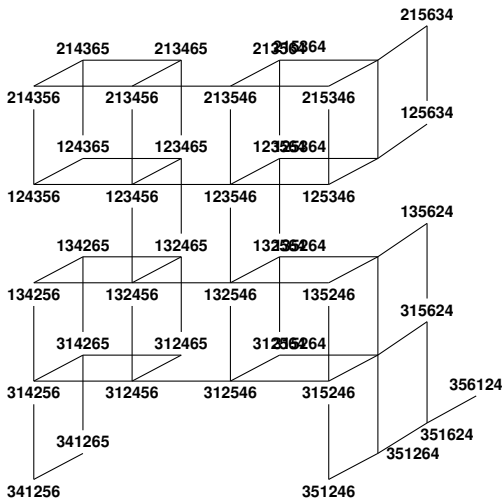


Figure: The median graph for the even $1N3, 3N1$ -alternating scheme for $n = 6$.

Conjectures

Regarding the maximum domain size we conjecture the following.

For each $n \geq 5$, the maximum size of set-alternating domain is the size of the odd 1N33N1-alternating domain. This conjecture has been verified computationally for $n \leq 24$.

We believe that the conjecture holds in a more general form as well.

For each $n \geq 16$, the largest unitary domain D produced by any N_1, N_2 set-alternating scheme, using general pairs of never conditions N_1, N_2 , occurs if and only if D is given either by the odd 1N33N1-alternating scheme or its reverse complement. This conjecture has been verified computationally for $n \leq 20$. For $n < 16$ the maximum cardinality occurs of the Fishburn's alternating scheme.

Main result

We partition all orders in $D_X(A_n)$ on orders that start from set $\{1, 2\}$, orders that start from set $\{1, 2, 3, 4\}$, but not from set $\{1, 2\}$, orders that start from set $\{1, 2, 3, 4, 5, 6\}$, but not from set $\{1, 2, 3, 4\}$, etc. Orders from part k start from $[2k]$, but not $[2(k - 1)]$.

Orders from the first part start from 12, 21. There are $2a(n - 2)$ such orders. Orders from the second part start from 1324, 1342, 3124, 3142, 3412. There are $5a(n - 4)$ such orders.

Lemma 5

In all orders from k^{th} part of $D_X(A_n)$ alternatives from A_{2k} are in ascending order.

Lemma 6

In all orders from k^{th} part of $D_X(A_n)$ alternatives from $\overline{A_{2k}}$ are in ascending order.

Dyck words

Definition 7

A sequence $a_1 a_2 \dots a_{2k}$ of k elements u and k elements d such that for all $1 \leq j \leq 2k$ we have

$|\{i \in [j] \mid a_i = u\}| \geq |\{i \in [j] \mid a_i = d\}|$ is a *Dyck word*.

Proposition 7

(Deutsch, 1999) The number of Dyck words of size $2k$ is C_k , where C_k is the k^{th} Catalan number.

Bijection

The first element in Dyck words is u . It has no correspondence in orders. Each consequent element in top $2k$ elements segment of an order from k^{th} part of $D_X(A_n)$ corresponds with consequent element in Dyck word: if the element belongs to A_{2k} then d , if not, then u . The last element in Dyck word is d . It has no correspondence in orders.

Top 4 elements	Dyck word
1324	<i>ududud</i>
1342	<i>uduudd</i>
3124	<i>uuddud</i>
3142	<i>uududd</i>
3412	<i>uuuddd</i>

Main result

For $m = n/2$, even n we define $w(m) = a(n)$. From bijection we have

$$w(m) = \sum_{k=1}^m C_{k+1} w(m-k), \quad (1)$$

where C_{k+1} is the $k+1$ Catalan number. Solving the recurrence we get

Proposition 8

For even n we have

$$a(n) \sim \frac{\sqrt{2}}{4} \left(\sqrt{2 + 2\sqrt{2}} \right)^n,$$

for odd n we have $a(n) \sim \frac{\sqrt{\sqrt{2}-1}}{2} \left(\sqrt{2 + 2\sqrt{2}} \right)^n$.

Conclusions

We show that the domain size for sufficiently high n exceeds 2.1973^n , improving the previous record 2.1890^n .

Details can be found in

Karpov, A., Markström, K., Riis, S., Zhou, B. 2023.
Set-alternating schemes: A new class of large Condorcet domains. Arxiv preprint arXiv:2308.02817.

Finally

Any comments will be greatly appreciated.

Thanks for your attention!