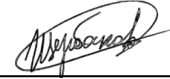


**Higher School of Economics 2018**

Утверждена Академическим советом  
образовательной программы  
«5» сентября 2018 г., № протокола\_1  
Академический руководитель  
образовательной программы

Д.А. Щербаков



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# Modern History of East Asia

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## Part 1: Course Information

### Instructor Information

**Instructor:** Mikhail Skovoronskikh (MA), Young Jo Lee (PhD)

**Office:** Bolshaya Ordynka, 107

**Office Hours:** By appointment via email

**E-mail:** [mskovoronskikh@hse.ru](mailto:mskovoronskikh@hse.ru)

### Course Description

This course presents a general introduction to the history of East Asia from the 17th century through the present with a focus on the process of modernization in China, Japan, and Korea. Adopting a comparative and international perspective, the course covers not only the domestic events in each of these countries, but also how they shaped and were shaped by the international developments in the region. Emphasis falls on a comprehensive understanding of the efforts of people across East Asia to respond to profound cultural, social and economic challenges of the 19th century and 20th century and to devise viable solutions. The course will prepare students for higher-level courses dealing with modern East Asia.

### Prerequisites

As the course Modern History of East Asia is realized partly online HSE students wishing to enroll must also take Adaptation Session.

### Learning Outcomes

Specifically:

1. Students gain a working knowledge of the history of modern China, Japan, and Korea. This knowledge will serve both as a foundation for further study and as a tool for more effectively understanding today's world.

2. Students learn to think critically and comparatively about historical events in modern East Asia. They are able to understand and identify historical themes, causes, and effects.
3. Students are able to use their knowledge and critical thinking abilities to intelligently consider journalism, published works, and scholarly articles on topics related to modern East Asia.

In general: All history courses develop students' knowledge of how past events influence today's society.

1. Students acquire a perspective on history and an understanding of the factors that shape human activity.
2. Students display knowledge about the origins and nature of contemporary issues and develop a foundation for future comparative understanding.
3. Students think and speak critically about primary and secondary historical sources by examining diverse interpretations of past events and ideas in their historical contexts.

## **Textbook & Course Materials**

The following textbooks can be consulted for further learning. Additional readings are assigned per week.

### **PRESCRIBED TEXTS**

Patricia Ebrey, Anne Whitehall, James B. Palais. *East Asia: A Cultural, Social, and Political History*. 2<sup>nd</sup> Edition. Boston and New York: Houghton-Mifflin, 2009. [To be cited as EA]

Mikiso Hane and Louis G. Perez. *Modern Japan: A Historical Survey*. 5<sup>th</sup> Edition. Boulder, Colorado: The Westview Press, 2013.

Ki-baik Lee. *A New History of Korea*. Cambridge and London: Harvard University Press, 1984.

Immanuel C. Y. Hsu. *The Rise of Modern China*. Oxford University Press, 2000.

### **Sourcebooks**

**(some documents from which will be assigned for reading)**

William Theodore de Bary, et al, eds. *Sources of Chinese Tradition, Vol. 2. From 1600 Through the Twentieth Century*. 2<sup>nd</sup> Edition. New York: Columbia University Press, 2000. [To be cited as SCT]

William Theodore de Bary, et al, eds. *Sources of Japanese Tradition, Vol. 2. From 1600 to 2000*. 2<sup>nd</sup> Edition. 2005. [To be cited as SJT]

Peter H. Lee, et al, eds. *Sources of Korean Tradition, Vol. 2. From the Sixteenth to the Twentieth Centuries*. 2<sup>nd</sup> Edition. New York: Columbia University Press, 2000. [To be cited as SKT]

**Recommended for Background Reading**

Fenby, Jonathan. *Modern China: The Fall and Rise of a Great Power*. New York: Ecco, 2008., chapter 4.

**LECTURE/SEMINAR/HOMEWORK HOURS**

NO	Topic	Lectures & Seminars	Home work	Hours total
1	Course Introduction	2	0	2
2	History and Modernity	2	2	4
3	The Qing Empire	2	2	4
4	Joseon Korea	2	2	4
5	Early-Modern Japan: The Tokugawa Peace	2	2	4
6	Early-Modern Japan: An Intellectual History	2	2	4
7	Colloquium: The Advent of Modernity in East Asia	2	2	4
8	External Threat and Internal Turmoil (1)	2	2	4
9	External Treat and Internal Turmoil (2)	2	2	4
10	Meiji Japan: Domestic Reforms and the End of the Ancien Regime	2	2	4
11	Japan's External Relations in the Meiji Period	2	2	4
12	Failed Reforms in Qing China and Joseon Korea (1)	2	2	4
13	Failed Reforms in Qing China and Joseon Korea (2)	2	2	4
14	Colloquium: Colonialism in East Asia	2	2	4
15	Mid-Term	2	2	4
16	The Sino-Japanese War of 1894	2	7	9

17	The Russo-Japanese War and the Rise of Japanese Imperialism	2	2	4
18	The Last Days of Joseon Korea: From an Empire to a Colony	2	2	4
19	Debate	2	2	4
20	Imperial Expansion of Japan	2	2	4
21	Colonial Rule in Korea and Taiwan	2	2	4
22	The Pacific War	2	2	4
23	Colloquium: Echoes and Legacies of Pax Japonica in East Asia	2	2	4
24	From One Revolution to Another in China (1)	2	2	4
25	From One Revolution to Another in China (2)	2	2	4
26	The San-Francisco Settlement	2	2	4
27	The Korean War	2	2	4
28	Economic Developments in Capitalist Asia (1)	2	2	4
29	Economic Developments in Capitalist Asia (2)	2	2	4
30	Colloquium: The Developmental State in East Asia	2	2	4
31	Economic Reforms in Communist China	2	2	4
32	North Korea: The Impossible State	2	2	4
33	Long Shadows of the Past (1)	2	2	4
34	Long Shadows of the Past (2)	2	2	4
35	Debate	2	2	4
36	Final Exam	2	7	9
	Total	72	80	152

## **Part 2: Grading Policy**

**The grade for this course is based on a midterm 30%, a final exam 40%, class participation 20%, and attendance 10%.**

<b><i>Midterm Exam</i></b>	<b>30%</b>
<b><i>Final Exam</i></b>	<b>40%</b>
<b><i>Class Participation</i></b>	<b>20%</b>
<b><i>Attendance</i></b>	<b>10%</b>

**Failure to report to class within 15 minutes from start time results in the student officially missing the class. This means that it will not count toward the final course grade.**

## Part 3: Topic Outline/Schedule

### Lecture Outlines:

#### Week 1. Introduction

1-1: Course Introduction

Topics: Periodization of East Asian history; need for an integrated approach; course requirements

1-2: The Sinosphere before the 17<sup>th</sup> century: a recap

Topics: An introduction to the civilizations of China, Japan, and Korea

Readings: no readings assigned for the first session

#### Week 2. History and Modernity

2-1: What is history?

Topics: Academic discourse on the nature of historical inquiry; facts, narratives, and lessons

2-2: What is modernity?

Topics: An introduction to historical and philosophical dimensions of “modernity”

Readings:

Carr, Edward Hallett. *What Is History?* Camberwell, Vic.: Penguin, 2008.

“Modernity” by Matthew J. Lauzon in Bentley, Jerry H. *The Oxford Handbook of World History*. Oxford: Oxford University Press, 2014.

Bayly, Christopher Alan. *The Birth of the Modern World: 1780-1914: Global Connections and Comparisons*. Cambridge, MA: Blackwell, 2005., Introduction.

#### Week 3. The Qing Empire

3-1: Qing China (Ming-Qing transition, domestic and foreign policy, intellectual and material developments)

Readings:

EA, chapter 16.

“The Han Learning and Text Criticism” in SCT, chapter 25 (Introductory notes and Dai Zhen’s letter to Shi Zhongming).

**Week 4. Joseon Korea**

4-1: Joseon Korea (Domestic order and relations with China)

Readings:

EA, chapter 15.

**Week 5. Early-Modern Japan: the Tokugawa Peace**

5-1: The Tokugawa settlement (from national unification to a new political order)

5-2: Foreign relations in the age of *sakoku*

Readings:

Totman, Conrad D. *Japan before Perry: A Short History*. Berkeley: University of California Press, 2008., chapter 4.

Friday, Karl F. *Japan Emerging: Premodern History to 1850*. New York: Routledge, 2014., chapters 30–33.

**Week 6. Early-Modern Japan: An Intellectual History**

6-1: Intellectual and cultural developments (Neo-Confucian orthodoxy and heterodox learning, *bushi* and bourgeois culture)

Readings:

Friday, Karl F. *Japan Emerging: Premodern History to 1850*. New York: Routledge, 2014., chapters 34–35, 38.

Hall, John W., ed. *The Cambridge History of Japan*. Vol. 4. Cambridge: Cambridge University Press, 1989., chapter 8.

**Week 7. Colloquium: The Advent of Modernity in East Asia**

7-1: Manifestations of modernity in Early Modern East Asia

Readings: review previously assigned readings

**Week 8. External Threat and Internal Turmoil (1)**

8-1: Opium War and Taiping Rebellion (Causes and consequences of the Opium

War; postwar Chinese response to the West; causes and consequences of the Taiping Rebellion)

Readings:

EA, chapter 18

Fairbank, John K., ed. *The Cambridge History of China*. Vol. 10. Cambridge: Cambridge University Press, 1978., chapters 4, 6.

Lin Zexu, "Letter to the English Ruler" (SCT, 5–6).

**Week 9. External Threat and Internal Turmoil (2)**

9-1: Japan from Black Ship to Meiji Restoration; Seclusion of Korea (Post-Perry political struggle and collapse of the Tokugawa Shogunate; Korea's persecution of Catholicism and conflicts with the Western powers; forced opening of Korea)

Readings:

EA, chapters 19, 21.

SJT, chapters 36–37.

**Week 10. Meiji Japan: Domestic Reforms and the End of the *Ancien Régime***

10-1: Meiji Reforms

10-2: Rapid Westernization

Readings: EA, chapter 20

Mikiso Hane and Louis G. Perez. *Modern Japan: A Historical Survey*. 5<sup>th</sup> Edition. Boulder, Colorado: The Westview Press, 2013, chapters 5–7.

SJT, chapter 38.

**Week 11. Japan's External Relations in the Meiji Period**

11-1: Unequal treaties and Meiji diplomacy

11-2: First steps toward territorial expansion

Readings:

Jansen, Marius B., ed. *The Cambridge History of Japan*. Vol. 5. Cambridge: Cambridge University Press, 1989., chapter 12.

**Week 12. Failed Reforms in Qing China and Joseon Korea (1)**

12-1: Qing China

Readings:

Immanuel C. Y. Hsu. *The Rise of Modern China*. Oxford University Press, 2000, chapters 15–17.

**Week 13. Failed Reforms in Qing China and Joseon Korea (2)**

13-1: Joseon Korea

Readings:

Ki-baik Lee. *A New History of Korea*. Cambridge and London: Harvard University Press, 1984, chapter 13.

**Week 14. Colloquium: Colonialism in East Asia**

14-1: The vicissitudes of exogenous modernization

Readings: review previously assigned readings

**Week 15. Mid-Term**

15-1: Mid-Term

**Week 16. The Sino-Japanese War of 1894**

16-1: Peasant rebellion in Korea and the Sino-Japanese war

16-2: Aftermath of the war

Readings:

Stewart Lone, *Japan's First Modern War: Army and Society in the Conflict with China, 1894-95*. London: Macmillan, 1994., chapters 1, 6, 8.

Mikiso Hane and Louis G. Perez. *Modern Japan: A Historical Survey*. 5<sup>th</sup> Edition. Boulder, Colorado: The Westview Press, 2013, chapter 8.

**Week 17. The Russo-Japanese War and the Rise of Japanese Imperialism**

17-1: Origins of the War

17-2: Consequences of the War



Antony Best. "The Anglo-Japanese alliance and international politics in Asia, 1902–23." In *The International History of East Asia, 1900–1968*. Edited by Antony Best. Abingdon and New York: Routledge, 2010.

Mikiso Hane and Louis G. Perez. *Modern Japan: A Historical Survey*. 5<sup>th</sup> Edition. Boulder, Colorado: The Westview Press, 2013, chapter 9.

**Week 18. The Last Days of Joseon Korea: From an Empire to a Colony**

18-1: Korea in the interbellum: modernization and enlightenment

18-2: Japanese policy in Joseon Korea until 1910

Readings:

EA, chapter 23.

Ki-baik Lee. *A New History of Korea*. Cambridge and London: Harvard University Press, 1984, chapter 14.

**Week 19. Debate**

19-1: Debate: Why did Japan succeed in modernization, while China and Korea failed?

Readings:

Balazs, Etienne. *Chinese Civilization and Bureaucracy*. New Haven: Yale Uni. Press, 1964, chapters 1–4 (pp. 3–54).

Perkins, Dwight H. "Government as an Obstacle to the Industrialization: The Case of Nineteenth Century China." *The Journal of Economic History* 27, no. 4 (December 1967): 478–492.

Levy, Marion J., Jr. "Contrasting Factors in the Modernization of China and Japan." *Economic Development and Cultural Change* 2 (1953–54).

Reischauer, Edwin O. "Modernization in Nineteenth-Century China and Japan." *Japan Quarterly* 10, no. 3 (July-September 1963): 298–307.

Nagai, Yonosuke. "'In Spite of' or 'Because of'? Japan's Success and Japanese Culture." *Speaking of Japan* (December 1983): 7–11.

Levine, Solomon B., and Hirashi Kawada. *Human Resources in Japanese Industrial Development*. Princeton: Princeton Uni. Press, 1980, Ch. 2 (pp. 22–59).

Sato, Seizaburo. "Response to the West: The Korean and Japanese Patterns." In Albert M. Craig, ed. *Japan: A Comparative View*. Princeton: Princeton Uni. Press, 1979, pp. 105–130.

Moulder, Frances V. *Japan, China and the Modern World Economy: Toward a Reinterpretation of East Asian Development, ca. 1600 to 1918* (Cambridge: Cambridge University Press, 1977). Skim the entire book.

Lasek, Elizabeth. "Imperialism in China: A Methodological Critique." *Bulletin of Concerned Asian Scholars* 15, no. 1 (January-February 1983): 50–64.

### **Week 20. Imperial Expansion of Japan**

20-1: Manchukuo and the Second Sino-Japanese War

Readings:

EA, chapter 26.

Mikiso Hane and Louis G. Perez. *Modern Japan: A Historical Survey*. 5<sup>th</sup> Edition. Boulder, Colorado: The Westview Press, 2013, chapters 12–13.

### **Week 21. Colonial Rule in Korea and Taiwan**

21-1: Colonial Korea

21-2: Colonial Taiwan

Readings:

EA, chapter 23.

Duus, Peter, ed. *The Cambridge History of Japan*. Vol. 6. Cambridge: Cambridge University Press, 1988, chapter 5.

Ki-baik Lee. *A New History of Korea*. Cambridge and London: Harvard University Press, 1984, chapter 15.

Ho, Samuel Pao-San. "Colonialism and Development: Korea, Taiwan, and Kwantung." In Ramon M. Myers and Mark Peattie, eds. *The Japanese Colonial Empire, 1895-1945*. Princeton: Princeton Uni. Press, 347–419.

Kohli, Atul. "Where do high growth political economies come from? The Japanese lineage of Korea's 'developmental state'." *World Development*, Vol. 22, No. 9 (1994): 1269–1293.

Haggard, Stephan, David Kang, and Chung-In Moon, "Japanese colonialism and Korean development: A critique." *World Development*, Vol. 25, No. 6 (1997): 867–881.

Kohli, Atul. "Japanese colonialism and Korean development: A reply." *World Development*, Vol. 25, No. 6 (1997): 883–888.

Cha, Myung Soo. "Facts and Myths About Korea's Economic Past." *Australian Economic History Review*, Vol. 44, No. 3 (November 2004): 278–293.

**Week 22. The Pacific War**

22-1: Japan in the Pacific War

Readings:

EA, chapter 26.

Mikiso Hane and Louis G. Perez. *Modern Japan: A Historical Survey*. 5<sup>th</sup> Edition. Boulder, Colorado: The Westview Press, 2013., chapters 13–14 .

**Week 23. Colloquium: Echoes and Legacies of Pax Japonica in East Asia**

23-1: The rise and fall of the Japanese Empire

Readings: review previously assigned readings

**Week 24. From One Revolution to Another in China (1)**

24-1: Revolution of 1911, Warlordism, and Nationalist Rule

Readings:

EA, chapter 24.

Fairbank, John K., and Kwang-Ching Liu, eds. *The Cambridge History of China*. Vol. 11. Cambridge: Cambridge University Press, 1980, chapter 9.

**Week 25. From One Revolution to Another in China (2)**

25-1: Communist Movement, Anti-Japanese War, Communist Revolution

Readings:

EA, chapter 25.

MacFarquhar, Roderick, and John K. Fairbank, eds. *The Cambridge History of China*.

Vol. 14. Cambridge: Cambridge University Press, 1987, chapter 1.

**Week 26. The San-Francisco Settlement**

26-1: The San-Francisco treaty system

Readings:

Price, John. "A Just Peace? The 1951 San Francisco Peace Treaty in Historical Perspective," <http://www.jpri.org/publications/workingpapers/wp78.html>

Bong, Youngshik D. "Sixty Years After the San Francisco Treaty: Its Legacy on Territorial and Security Issues in East Asia." *Asian Perspective* 35, no. 3 (2011): 309–14.

Price, John. "COLD WAR RELIC: THE 1951 SAN FRANCISCO PEACE TREATY AND THE POLITICS OF MEMORY." *Asian Perspective* 25, no. 3 (2001): 31–60.

**Week 27. The Korean War**

27-1: Revolutionary Pressure, Counterrevolutionary Measures, and Korean War

27-2: Impacts of the Korean War

Readings:

EA, chapter 29.

Ki-baik Lee. *A New History of Korea*. Cambridge and London: Harvard University Press, 1984, chapter 16.

**Week 28. Economic Developments in Capitalist Asia (1)**

28-1: Postwar Japan

Readings:

EA, chapters 26, 30.

Mikiso Hane and Louis G. Perez. *Modern Japan: A Historical Survey*. 5<sup>th</sup> Edition. Boulder, Colorado: The Westview Press, 2013., chapter 15.

**Week 29. Economic Developments in Capitalist Asia (2)**

29-1: Postwar Korea and Taiwan

Readings:

EA, chapter 29.

**Week 30. Colloquium: The Developmental State in East Asia**

30-1: The East Asian economic model

Readings: review previously assigned readings

**Week 31. Economic Reforms in Communist China**

31-1: Economic Reforms and Development in China

Readings:

EA, chapters 27–28.

**Week 32. North Korea: The Impossible State**

32-1: The history of North Korea after the Korean War

Readings:

Cha, Victor. *The Impossible State: North Korea, past and Future*. New York: Ecco, 2012.

**Week 33. Long Shadows of the Past (1)**

33-1: Contested Histories and the Apology Diplomacy

Readings:

Dudden, Alexis. *Troubled Apologies among Japan, Korea, and the United States*. New York: Columbia University Press, 2014.

Lind, Jennifer M. *Sorry States: Apologies in International Politics*. Ithaca, NY: Cornell University Press, 2010.

**Week 34. Long Shadows of the Past (2)**

34-1: Territorial Disputes

Readings:

“Nationalism, Historical Legacies and Territorial Disputes as Obstacles to Cooperation in Northeast Asia” in Flake, E. Gordon. *Toward an Ideal Security State for Northeast Asia 2025*. The Maureen and Mike Mansfield Foundation, 2010.

Zhao, Suisheng. “Foreign Policy Implications of Chinese Nationalism Revisited: the

Strident Turn.” *Journal of Contemporary China* (2013), 22:82, 535–553.

Ministry of Foreign Affairs, Japan, “The Basic View on the Sovereignty of the Senkaku Islands,” May 2013, [http://www.mofa.go.jp/region/asia-paci/senkaku/basic\\_view.html](http://www.mofa.go.jp/region/asia-paci/senkaku/basic_view.html).

Ministry of Foreign Affairs, China, “Diaoyu Dao, an Inherent Territory of China,” September 26, 2012, [http://www.fmprc.gov.cn/mfa\\_eng/topics\\_665678/diaodao\\_665718/t973774.shtml](http://www.fmprc.gov.cn/mfa_eng/topics_665678/diaodao_665718/t973774.shtml)

Ministry of Foreign Affairs, Taiwan, “The Republic of China’s Sovereignty Claims over the Diaoyutai Islands and the East China Sea Peace Initiative” <http://www.mofa.gov.tw/Upload/WebArchive/1384/a5646805-7335-43ea-b4b6-71d7058aa055.PDF>

Ministry of Foreign Affairs, Japan, “Japan’s Consistent Position on the Territorial Sovereignty over Takeshima,” April 11, 2014, <http://www.mofa.go.jp/region/asia-paci/takeshima/index.html>.

Ministry of Foreign Affairs and Trade, Republic of Korea, “Dokdo, Korean Territory,” <http://dokdo.mofat.go.kr/upload/eng1.pdf>.

Ministry of Foreign Affairs, Japan, “Overview of the Issue of the Northern Territories,” <http://www.mofa.go.jp/region/europe/russia/territory/overview.html>.

### **Week 35. Debate**

35-1: Debate: The consequences of the advent of modernity in East Asia

Readings: review previously assigned readings

### **Week 36. Final Exam**

36-1: Final Exam