

# A Way for Graph Reduction for the Independent Set Problem and Its Application

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### Definition

An **independent set** of a graph is a subset of vertices of the graph, no two of which are adjacent.

### Definition

A **maximum independent set** of a graph  $G$  is an independent set of  $G$  with the maximum number of vertices. Its size is called the **independence number** of  $G$  and denoted as  $\alpha(G)$ .

### Definition

The **independent set problem** (IS problem, for short), for a given graph  $G$  and natural number  $k$ , is a problem of verification, whether the inequality  $\alpha(G) \geq k$  holds or not.

## A way of graph reduction

### Definition

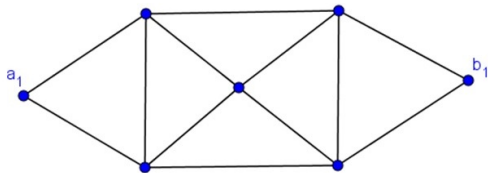
Let  $H_1$  and  $H_2$  be graphs,  $A \subseteq V(H_1) \cap V(H_2)$ . We call the graphs  $H_1$  and  $H_2$   $\alpha$ -similar with respect to  $A$ , if an injection  $f : A \mapsto V(H_2)$  and a constant  $c$  exist, such that for any  $X \subseteq A$  the equality  $\alpha(H_1 \setminus X) = \alpha(H_2 \setminus X) + c$  holds.

### Definition

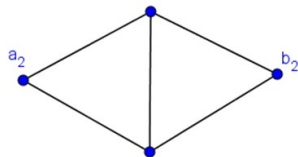
The function  $f$  is called  $\alpha$ -similarity between  $H_1$  and  $H_2$  with respect to  $A$ .

## Example

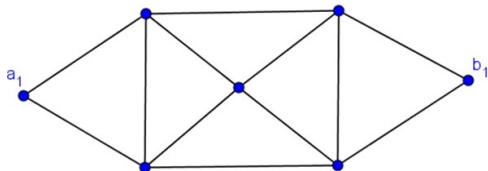
Let  $A = \{a_1, b_1\}$  and  $f : \{a_1, b_1\} \mapsto \{a_2, b_2\}$  with  $f(a_1) = a_2$ ,  $f(b_1) = b_2$ . The graphs  $H_1$  and  $H_2$  are  $\alpha$ -similar with respect to  $A$ .



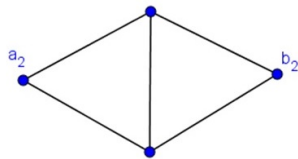
Graph  $H_1$



Graph  $H_2$



Graph  $H_1$



Graph  $H_2$

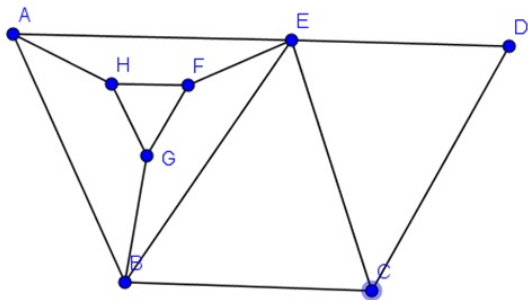
$\alpha(H_1) = 3$	$\alpha(H_2) = 2$	$\alpha(H_1) - \alpha(H_2) = 1$
$\alpha(H_1 \setminus a_1) = 2$	$\alpha(H_2 \setminus b_1) = 1$	$\alpha(H_1 \setminus a_1) - \alpha(H_2 \setminus b_1) = 1$
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$\alpha(H_1 \setminus \{a_1, b_1\}) = 2$	$\alpha(H_2 \setminus \{a_1, b_1\}) = 1$	$\alpha(H_1 \setminus \{a_1, b_1\}) - \alpha(H_2 \setminus \{a_1, b_1\}) = 1$

## Definition

Let  $G$  be some graph with an induced subgraph  $H$ . We call a set of vertices  $A \subseteq V(H)$  **separating for the graph  $H$** , if no vertex from the graph  $H \setminus A$  is adjacent to any vertex from the graph  $G \setminus H$ .

## Example

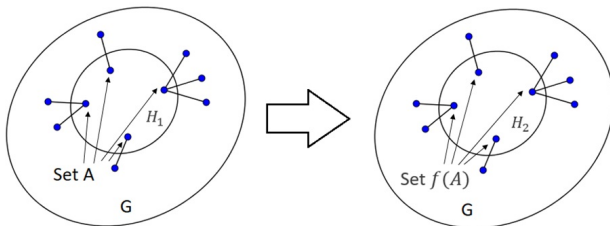
A separating set for the subgraph on vertices  $\{A, B, E, F, H, G\}$  is  $\{E, B\}$ .

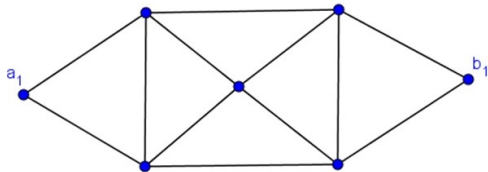


## The notion of $f$ -substitution

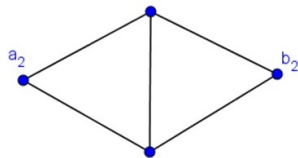
### Definition

Let  $f$  be an  $\alpha$ -similarity between graphs  $H_1$  and  $H_2$  regarding  $A$ . Let  $G$  be a graph with induced subgraph  $H_1$  with  $H_1$ -separator  $A$ , while  $V(G) \cap V(H_2) = \emptyset$ . The operation of  $f$ -substitution of graph  $H_1$  with graph  $H_2$  in graph  $G$  is performed by removing from graph  $G$  all vertices of subgraph  $H_1$ , adding graph  $H_2$  to  $G \setminus H_1$  with all edges  $(f(x), y)$ , where  $x \in A$ ,  $y \in V(G) \setminus V(H_1)$ ,  $xy \in E(G)$ .

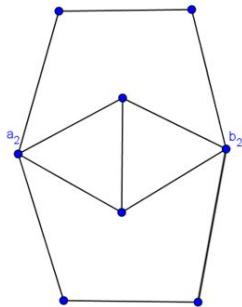
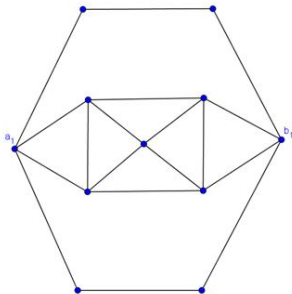




Graph  $H_1$



Graph  $H_2$



Graph before and after  $f$ -substitution of subgraph  $H_1$  with subgraph  $H_2$



### Lemma

If graph  $G^*$  is a result of  $f$ -substitution of subgraph  $H_1$  with subgraph  $H_2$  in graph  $G$ , then  $f$  is an  $\alpha$ -similarity between  $G^*$  and  $G$  with respect to  $A$ .

### Corollary

If graph  $G^*$  is a result of  $f$ -substitution of subgraph  $H_1$  with subgraph  $H_2$  in graph  $G$ , then  $\alpha(G^*) = \alpha(G) + \alpha(H_2) - \alpha(H_1)$ .

### Remark

Our substitutions is a particular case of the so-called **substitution schemes**, which has been proposed by Alekseev and Lozin in the paper «Local transformations of graphs, which keep the independence number» (Discrete Analysis and Operations Research, 1998)

## Graph classes

### Definition

A **graph class** is any set of simple graphs, which is closed under isomorphism.

### Definition

We call a graph class **IS-simple** if IS problem for graphs in this class is solvable in polynomial time. A graph class, where IS problem is NP-complete, we call **IS-hard**.

## Definition

A graph class is called **hereditary** if it is closed under vertex deletion.

Any hereditary class  $\mathcal{X}$  can be defined by the set  $\mathcal{S}$  of its minimal forbidden induced subgraphs.

It is denoted as  $\mathcal{X} = \text{Free}(\mathcal{S})$ .

## Definition

A hereditary class is called **finitely defined**, if the set of its minimal forbidden induced subgraphs is finite.

## Class $\mathcal{T}$

### Definition

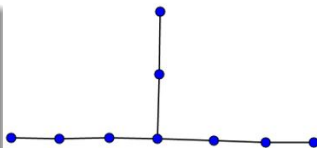
The **triod**  $T_{i,j,k}$  is a tree, which can be created by coinciding end vertices of paths  $P_{i+1}$ ,  $P_{j+1}$  and  $P_{k+1}$ .

### Definition

The class  $\mathcal{T}$  consists of all graphs, every connected component of which is a triod.

### Notations

- 1  $\mathcal{P}$  — class of planar graphs
- 2  $\mathcal{D}(d)$  — class of graphs with maximum degree of  $d$
- 3  $\mathcal{P}(d)$  — class of planar graphs with maximum degree of  $d$



Triod  $T_{3,3,2}$

### Theorem (Alekseev, 2003)

- 1 Every finitely defined class  $\mathcal{X}$ , which contains  $\mathcal{T}$ , is IS-hard.
- 2 The same is correct if instead  $\mathcal{X}$  we consider the classes  $\mathcal{P} \cap \mathcal{X}$ ,  $\mathcal{D}(d) \cap \mathcal{X}$  or  $\mathcal{P}(d) \cap \mathcal{X}$  with  $d > 2$ .

### Assumption (Alekseev, 2003)

Every finitely defined class, which does not include  $\mathcal{T}$ , is IS-simple.

### Equivalent assumption

For any graph  $G \in \mathcal{T}$ , the class  $Free(\{G\})$  is IS-simple.

### Remark

The same assumption can be made for finitely defined subclasses regarding the classes  $\mathcal{P}$ ,  $\mathcal{D}(d)$ ,  $\mathcal{P}(d)$ .

## Known results

Theorem (Lozin, Milanic, 2007)

The class  $\mathcal{D}(d) \cap \text{Free}(\{T_{1,i,i}\})$  is IS-simple, for any  $i$  and  $d$ .

Theorem (Alekshev et al., 2008)

The class  $\mathcal{P} \cap \text{Free}(\{T_{1,i,i}\})$  is IS-simple, for any  $i$ .

Theorem (Malyshev, 2013)

The class  $\mathcal{P}(3) \cap \text{Free}(\{T_{2,2,i}\})$  is IS-simple, for any  $i$ .

Theorem (Lozin et al., 2015)

The class  $\mathcal{D}(3) \cap \text{Free}(\{T_{2,2,2}\})$  is IS-simple.

# Main result

Theorem (Malyshev, Sirotkin, 2017)

The class  $\mathcal{P}(3) \cap \text{Free}(\{T_{3,3,2}\})$  is IS-simple.

Proof sketch

The independent set problem for the class  $\mathcal{P}(3) \cap \text{Free}(\{T_{3,3,2}\})$  can be reduced to the same problem for the class  $\mathcal{P}(3) \cap \text{Free}(\{T_{2,2,10}\})$  in polynomial time. To this end, certain  $f$ -substitutions are used. The class  $\mathcal{P}(3) \cap \text{Free}(\{T_{2,2,10}\})$  is IS-simple (Malyshev, 2013).

We use approximately 20 different  $f$ -substitutions.

# Main result

## Theorem (Malyshev, Sirotkin, 2017)

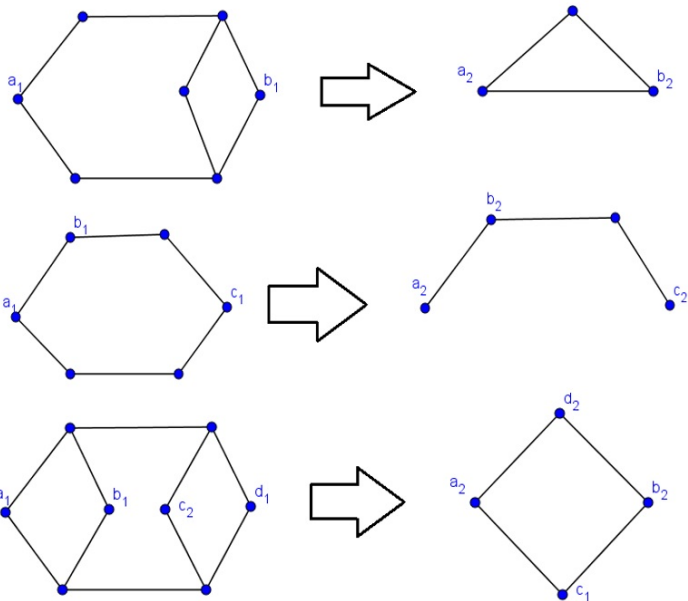
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Examples of used  $f$ -substitutions

Thank you for the attention!