

APPROVED  
By HSE University Academic  
Council  
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No. 12, dated November 30, 2018

## **Requirements for Publications Submitted by Candidates for Academic Degrees in Psychology Awarded by HSE University**

### **Dissertation of a dissertation for a Candidate of Sciences degree / PhD**

The following must be submitted for the defence of a separate, coherent thesis/dissertation:

- 3 (three) articles (published in WoS and Scopus-indexed journals or in the list of journals recommended by HSE University). At least one article must be prepared by the author without other co-authors (except for Psychophysiology). When defending a thesis/dissertation in Psychophysiology, the candidate must be the first or lead co-author of at least one article;

The following must be submitted for defence of a thesis/dissertation comprised of several research articles:

- 3 (three) articles (published in WoS and Scopus-indexed journals or in the list of journals recommended by HSE University), including at least 1 (one) article in WoS or Scopus Q1-Q2. At least one article must be prepared by the author without other co-authors (except for Psychophysiology). When defending a thesis/dissertation in Psychophysiology, the candidate must be the first or lead co-author of at least one article.

### **Defence of a dissertation for a Doctor of Sciences degree/DS**

The following must be submitted for defence of a separate, coherent thesis/dissertation:

- 15 (fifteen) articles (published in WoS and Scopus-indexed journals or in the list of high-quality journals recommended by HSE University), including at least 3 (five) articles in WoS or Scopus Q1-Q2. At least 3 (three) articles must be prepared by the author without other co-authors (except for Psychophysiology). When defending a thesis/dissertation in

Psychophysiology, the candidate must be the first or primary co-author of at least 3 (three) articles.

The following must be submitted for defence of a thesis/dissertation comprised of several research articles:

- 15 (fifteen) articles (published in WoS and Scopus-indexed journals or in the list of journals recommended by HSE University), including at least 5 (five) articles in WoS or Scopus Q1-Q2. At least 3 (three) articles must be prepared by the author without other co-authors (except for Psychophysiology). When defending a thesis/dissertation in Psychophysiology, the candidate must be the first or primary co-author of at least 3 (three) articles. The defence can be based on 7-10 articles (at the candidate's discretion).

The dissertation or some articles may be substituted for an individual reviewed research monograph published at one of the leading publishers from the approved List of Publishers (provided that all other criteria are observed). Such instances automatically include monographs released by publishers from the approved List of Publishers that are taken into consideration when awarding Tier 3 academic bonuses (for monographs: <https://scientometrics.hse.ru/publishers>).

### **Clarifications on Criteria for All Fields of Study**

In all instances, publications submitted should include key results of the thesis/dissertation research.

In all instances, in addition to publications, works accepted for print shall be considered as long as an official confirmation from the publisher or the editorial board of the respective journal is provided.

In all instances, at the preliminary stage of discussion, the dissertation committee shall retain the right to carry out an expert review of the contents of the articles submitted, or, in the case of monographs, check whether the publication meets the criteria for an academic publication.

If for a given field of study as per the procedure in place at HSE University, a list of publishers is approved whose published monographs may be accepted for review, the publishers on this list shall be recognized automatically. Other publishing houses can be validated as per the dissertation council's decision.

The dissertation committee may not recognize the repeat publication of a given text as a separate publication (e.g., translation into another language).

In all instances, articles in journals included on HSE University's blacklist shall not be considered (<https://scientometrics.hse.ru/blacklist>).

Publications in journals, where the candidate is the chief editor, deputy editor or assistant editor at the moment of the publication shall not be considered.

HSE University's list of recommended journals can be found here: <https://scientometrics.hse.ru/goodjournals>.

Publications included in the WoS shall be taken without consideration for the Emerging Sources Citation Index (ESCI), if its inclusion has not been specified. Publications included in Scopus and HSE University's list of recommended journals shall be considered.

The quartile of a journal shall be determined as the year of the article's publication in the journal. If no information is available on the year of publication, the last year when this information was available shall be considered.

If a journal features several quartiles, the quartile on the article by the candidate shall be determined according to the subject field most closely associated with the topic of the given thesis/dissertation.

If the dissertation committee opts to consider publications included on the list of leading journals or any other ancillary lists, lists with such journals must be drawn up and made accessible.

The main co-author of an article shall be determined in line with the rules of the journal where it was published; if such rules are absent, this shall be decided by the dissertation committee itself.

With respect to materials from academic conferences in the natural and technical sciences (i.e., CORE, etc), only materials from a conference's main programme shall be considered.

With respect to the Social Sciences and Humanities, articles may be replaced by individual peer-reviewed monographs, published in leading publications, if they are included in an approved list.

With respect to the Social Sciences and Humanities, articles published in any other foreign languages may be attached to the thesis/dissertation if the thesis topic is related to a particular country and/or language, as per the decision of the dissertation committee. Defended articles (without a respective dissertation) can only be submitted in Russian or English.