

**Requirements for Dissertations in History Submitted by Those Seeking
Academic Degrees by HSE University**

Defence of a dissertation submitted by a seeker of a Candidate of Sciences degree/PhD

The following papers must be submitted for defence of a separate coherent research paper:

- 3 (three) articles (published in WoS and Scopus-indexed journals or in the list of high-quality journals recommended by HSE University). All articles should be written by the researcher independently (without co-authors).
- In some cases, an individual peer-reviewed monograph may be accepted for consideration and defence as a thesis, provided that:
an applicant has prepared at least 3 (three) articles published in high-quality peer-reviewed scientific journals, including at least one article in Q1-Q2 in WoS and/or Scopus journals.

The following papers must be submitted for defence of a dissertation comprised of several research articles:

- at least 3 (three) articles (published in WoS and/or Scopus journals), including at least 2 (two) article in Q1-Q2 in WoS and/or Scopus journals. All articles should be written by the researcher independently (without co-authors).

The research articles can be published in German and French if the dissertation was prepared as part of two diploma programmes with German or French universities under the defence of the dissertation comprised of several research articles.

Defence of a dissertation for a Doctor of Sciences degree/DS

The following papers must be submitted for defence of a separate coherent research paper:

- 15 (fifteen) articles (published in WoS and Scopus journals or in the list of high-quality journals recommended by HSE University), including at least 3 (three) articles in Q1-Q2 in WoS or Scopus. Up to 5 (five) articles can be prepared in co-authorship.
- In some cases, an individual peer-reviewed monograph may be accepted for consideration and defence as a thesis, provided that: 1) an applicant has prepared at least 15 articles published in high-quality peer-reviewed scientific journals, including at least 5 (five) articles in Q1-Q2 in WoS and/or Scopus

journals; 2) a monograph should not substantially repeat the contents of the dissertation, which was submitted by an applicant for a Candidate's degree before.

Defence on the basis of articles shall not be allowed.

General requirements across all fields of research

All submitted publications must include key research outcomes of a PhD dissertation.

Articles which have been accepted for publication shall also be counted along with already published papers, if an official confirmation has been provided by the publisher or the editorial board of a journal.

At the initial stage of discussion, the Dissertation Council retains the right for a substantive expert review of submitted articles. In case of a monograph, the Dissertation Council has the authority to recognize whether or not a monograph meets the requirements for research publications.

If a list of eligible publishers has been approved for a certain field of research as per HSE University's regulations, publishers included in this list shall be recognized automatically. Other publishers may be recognized following the Dissertation Council's approval.

The Dissertation Council can refuse to recognize as a separate publication a repeat publication of the research paper, including its translation into a foreign language.

Publications in journals included in the blacklist of journals (<https://scientometrics.hse.ru/blacklist>) shall not be counted in all cases.

Publications in journals, where the researcher takes a position of the chief editor, or deputy chief editor, or responsible secretary at the time when the research papers is published, shall not be counted.

Please see the list of journals recommended at HSE University at: <https://scientometrics.hse.ru/goodjournals>

WoS-indexed publications are provided not including the Emerging Sources Citation Index (ESCI), unless agreed otherwise. Scopus-indexed publications or papers in the HSE University's recommended list of journals shall be counted.

The journal's quartile shall be determined by the year of the research paper's publication in a given journal. If no information about the year of publication is available, the last year for when such information is available shall be considered as the year of the publication.

If there are different quartiles of a journal, the quartile of a researcher's article shall be determined by use of the quartile for the concentration closest to the topic of the researcher's dissertation.

If the Dissertation Council proposes a special procedure for counting publications in the list of leading journals or any other additional lists, lists of such journals shall be prepared and made available for public access.

The lead author of the article shall be determined pursuant to rules of the publishing journal or, if no such rules are available, by the Dissertation Committee's decision.

For academic conference materials in the field of Natural and Technical Sciences (CORE, etc.), only materials in respect of the conference agenda shall be counted.

In Humanities and Social Sciences, articles can be substituted by individual peer-reviewed research monographs published by the leading publishers from the approved list.

Articles attached to the dissertation in the field of Humanities and Social Sciences can be published in any foreign language, if the topic of the dissertation relates to a respective country or language, upon approval of the Dissertation Council. Individual articles submitted for defence (comprising the thesis/dissertation) should be provided in Russian or English only.